Clinical Data Standards

2014 ACC/AHA Key Data Elements and Definitions for Cardiovascular Endpoint Events in Clinical Trials

A Report of the American College of Cardiology/American Heart Association Task Force on Clinical Data Standards (Writing Committee to Develop Cardiovascular Endpoints Data Standards)

WRITING COMMITTEE MEMBERS

Karen A. Hicks, MD, FACC, *Chair**James E. Tcheng, MD, FACC, *Vice-Chair*

Biykem Bozkurt, MD, PhD, FACC, FAHA Bernard R. Chaitman, MD, FACC Donald E. Cutlip, MD, FACC Andrew Farb, MD, FACC* Gregg C. Fonarow, MD, FACC, FAHA Jeffrey P. Jacobs, MD, FACC Michael R. Jaff, DO, FACC Judith H. Lichtman, MPH, PhD Marian C. Limacher, MD, FACC, FAHA Kenneth W. Mahaffey, MD, FACC Roxana Mehran, MD, FACC, FAHA Steven E. Nissen, MD, MACC, FAHA Eric E. Smith, MD, MPH, FAHA Shari L. Targum, MD, FACC*

ACC/AHA TASK FORCE ON CLINICAL DATA STANDARDS MEMBERS

William S. Weintraub, MD, MACC, FAHA, Chair

Biykem Bozkurt, MD, PhD, FACC, FAHA Gregg C. Fonarow, MD, FACC, FAHA Robert C. Hendel, MD, FACC, FAHA† Jeffrey P. Jacobs, MD, FACC Hani H. Jneid, MD, FACC, FAHA Michael A. Kutcher, MD, FACC Judith H. Lichtman, MPH, PhD Eric E. Smith, MD, MPH, FAHA‡ James E. Tcheng, MD, FACC Tracy Y. Wang, MD, FACC, FAHA

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[†]Former Task Force Chair; current chair during the writing effort.

[‡]Former member of the Task Force; current member during the writing effort.

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Preamble

The American College of Cardiology (ACC) and the American Heart Association (AHA) support their members' goal to improve the care of patients with cardiovascular disease through professional education, research, and development of guidelines and standards and by fostering policies that support optimal patient outcomes. The ACC and AHA recognize the importance of the use of clinical data standards for patient management, assessment of outcomes, and conduct of research, and the importance of defining the processes and outcomes of clinical care, whether in randomized trials, observational studies, registries, or quality-improvement initiatives.

Clinical data standards strive to define and standardize data relevant to clinical concepts, with the primary goal of facilitating uniform data collection by providing a platform of clinical terms with corresponding definitions and data elements. Broad agreement on a common vocabulary with reliable definitions used by all is vital to pool and/or compare data across clinical trials to promote interoperability with electronic health records (EHRs) and to assess the applicability of research to clinical practice. The ultimate purpose of clinical data standards is to contribute to the infrastructure necessary to accomplish the ACC's mission of fostering optimal cardiovascular care and disease prevention and the AHA's mission of building healthier lives, free of cardiovascular diseases and stroke.

The specific goals of clinical data standards are:

- To establish a consistent, interoperable, and universal clinical vocabulary as a foundation for both clinical care and clinical research, including clinical trials
- 2. To promote the ubiquitous use of EHRs and facilitate the exchange of data across systems through harmonized, standardized definitions of key data elements
- To facilitate the further development of clinical registries, quality- and performance-improvement programs, outcomes evaluations, and clinical research, including the comparison of results within and across these initiatives

The key elements and definitions are intended to facilitate the consistent, accurate, and reproducible capture of clinical concepts; standardize the terminology used to describe cardiovascular diseases and procedures; create a data environment conducive to the assessment of patient management and outcomes for quality and performance improvement and clinical and translational research; and increase opportunities for sharing data across disparate data sources. The ACC/AHA Task Force on Clinical Data Standards selects cardiovascular conditions and procedures that will benefit from creation of a standard dataset. Subject matter experts are selected to examine/consider existing standards and develop a comprehensive, yet not exhaustive, standard dataset. When a data collection effort is undertaken, only a subset of the elements contained in a clinical data standards listing may be needed, or conversely, users may want to consider whether it may be necessary to collect some elements not listed. For example, in the setting of a randomized clinical trial of a new drug, additional information would likely be required regarding study procedures and drug therapies.

The ACC and AHA recognize that there are other national efforts to establish clinical data standards, and every attempt is made to harmonize newly published standards with existing standards. Writing Committees are instructed to consider adopting or adapting existing nationally and internationally recognized data standards if the definitions and characteristics are useful and applicable to the set under development. In addition, the ACC and AHA are committed to continually expanding their portfolio of data standards and will create new standards and update existing standards as needed to maintain their currency and promote harmonization with other standards as health information technology and clinical practice evolve.

The Writing Committee for the current effort was intentionally expanded to include a regulatory perspective.

This reflects the key role of clinical event concepts in evaluating therapeutic safety and effectiveness in clinical trials. This unique collaboration between the regulatory sector and the ACC and AHA acknowledges the need to align key clinical concepts for regulatory reporting and clinical care.

The Health Insurance Portability and Accountability Act privacy regulations, which went into effect in April 2003, have heightened all practitioners' awareness of our professional commitment to safeguard our patients' privacy. The Health Insurance Portability and Accountability Act privacy regulations (1) specify which information elements are considered "protected health information." These elements may not be disclosed to third parties (including registries and research studies) without the patient's written permission. Protected health information may be included in databases used for healthcare operations under a data use agreement. Research studies using protected health information must be reviewed by an institutional review board or a privacy board.

We have included identifying information in all clinical data standards to facilitate uniform collection of these elements when appropriate. For example, a longitudinal clinic database may contain these elements because access is restricted to the patient's caregivers. Conversely, registries may not contain protected health information unless specific permission is granted by each patient. These fields are indicated as protected health information in the data standards.

In clinical care, caregivers communicate with each other through a common vocabulary. In an analogous fashion, the integrity of clinical research depends on firm adherence to prespecified procedures for patient enrollment and follow-up; these procedures are guaranteed through careful attention to definitions enumerated in the study protocol, case report forms, and clinical event committee charters. When data elements and definitions are standardized across studies, comparison, pooled analysis, and meta-analysis are enabled, thus deepening our understanding of individual studies.

The recent development of quality-performance measurement initiatives, particularly those for which the comparison of providers is an implicit or explicit aim, has further raised awareness about the importance of data standards. Indeed, a wide audience, including nonmedical professionals such as payers, regulators, and consumers, may draw conclusions about care and outcomes. To understand and compare care patterns and outcomes, the data elements that characterize them must be clearly defined, consistently used, and properly interpreted.

William S. Weintraub, MD, MACC, FAHA Chair, ACC/AHA Task Force on Clinical Data Standards



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Foreword

This publication, commissioned by the ACC/AHA, is the product of a novel collaboration between the ACC and AHA, the U.S. Food and Drug Administration (FDA), and the Standardized Data Collection for Cardiovascular Trials Initiative (SCTI). The aim of the collaboration is to promulgate, for regulatory submissions, clinical data standards for key cardiovascular and stroke endpoint events in clinical trials. The Writing Committee for this particular data standard is unique in that it was convened to achieve the following goals: to address issues particular to regulatory submissions and to provide a framework of data standards that would simplify the design and conduct of clinical trials for those considering regulatory submissions. The intent of this Writing Committee is not to be overly prescriptive. For example, the stroke data elements are minimal by design to allow for flexibility needed to conduct global clinical trials for drugs and devices. In a trial focused on the treatment of stroke, investigators may define additional outcomes and data elements for a particular stroke treatment or indication. The Writing Committee recognizes that these standards may be used for other types of clinical trials and clinical care processes where appropriate. These data standards are a first step in developing a universal language for clinical trials and other types of health-related research.

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1. Introduction

Effective communication is a cornerstone of the healthcare enterprise. A prerequisite for providing seamless care is the universal and consistent use of medical vocabularies (2). Cardiovascular endpoints such as death, myocardial infarction, stroke, and revascularization are critical in assessing diagnostic and therapeutic approaches in the clinical care, research, and regulatory domains. With the adoption of EHR solutions has come the opportunity to manage health-related information as discrete data (3). Therefore, the ACC/AHA Task Force on Clinical Data Standards established this Writing Committee to identify and harmonize the common data elements involved in key cardiovascular endpoint events. Doing so will allow this vocabulary to be used to improve the assessment of process, performance, and outcomes across multiple dimensions of health care.

In this work, the term "vocabulary" includes the terminology concept, the concept definition, a suggested label for the corresponding data element, permissible values of the data element, and definitions for the permissible values.

The Writing Committee identified the ongoing work of the SCTI as the foundation for the development of this vocabulary. The Writing Committee's task was to review, refine, and advance as a clinical standard the cardiovascular endpoint terminology set developed by the SCTI. This terminology set largely reflects endpoints related to the symptoms, manifestations, treatment, and consequences of coronary artery disease in both cardiovascular and noncardiovascular drug and device trials. Endpoint concepts related to carotid/cerebral revascularization, peripheral surgical revascularization, and treatment of diseases of the aorta are beyond the scope of this document.

First convened in 2009 by the FDA, the SCTI is a working group formed to improve the quality and efficiency of clinical trials. It includes representatives from academia, professional societies, the Clinical Data Interchange Standards Consortium, Health Level 7, the Clinical Trials Transformation Initiative, pharmaceutical and cardiovascular device manufacturers, and the FDA (which includes the Center for Drug Evaluation and Research and the Center for Devices and Radiological Health). The original goal of the working group was to improve the quality and efficiency of cardiovascular clinical trials (e.g., acute coronary syndrome trials, percutaneous coronary intervention [PCI] trials). Subsequently, in recognition of the growing interest in cardiovascular events in noncardiovascular trials (e.g., diabetes control trials, weight loss trials), this focus expanded to include noncardiovascular trials. To achieve its goal, the SCTI acknowledged the need for a consistent cardiovascular and stroke endpoint vocabulary comprising terms defined by objective criteria and reported uniformly (4). This framework of standardized key data elements in clinical trials (and potentially the clinical care domain), could also facilitate the conduct of meta-analyses to assess cardiovascular safety and compare the effectiveness of drug and device products.

The SCTI-developed working draft "Standardized Definitions for Cardiovascular and Stroke Endpoint Events in Clinical Trials" is the source document for the data standards in this publication (4). This source document identifies the cardiovascular and stroke endpoint terms relevant to clinical trials and regulatory submissions. The Writing Committee evaluated and harmonized these endpoint terms, categorized the attributes of each data element, developed permissible (i.e., allowed) values, and tabulated the content to facilitate computational interoperability and the use of the terminology regulatory domains.

The objective is to use this controlled terminology, initially developed for clinical trials and regulatory submissions, to standardize event reporting and data collection when determinations must be made about cardiovascular and stroke endpoints in the context of clinical care processes, registries, EHRs, and longitudinal drug or device surveillance.

Nonetheless, the Writing Committee recognizes that this terminology is not applicable to all dimensions of health care and that some of these definitions are not applicable to clinical care processes. For example, the "Hospitalization for Unstable Angina" definition may not be optimal for the Centers for Medicare and Medicaid Services because other clinical scenarios could be consistent with unstable angina but not fulfill all the criteria needed to define this endpoint in a clinical trial. Similar scenarios could be relevant to other nonfatal endpoint definitions.

To avoid ambiguity, we recommend maintaining the cardiovascular and stroke endpoint vocabulary described in this document as "regulatory specific" distinct from other vocabularies. Although endpoint definitions may evolve over time, a period in which definitions remain static is needed for terms to be used successfully to conduct a meta-analysis. Furthermore, the ACC/AHA Task Force on Clinical Data Standards should include regulatory data standards experts—in addition to members of this Writing Committee, SCTI representatives, and other clinical trial experts—as an integral part of any necessary definition revision process, because such experts understand the requirements for evaluating drug and device products.

2. Methodology

2.1. Writing Committee Composition

The ACC/AHA Task Force on Clinical Data Standards selected the members of the Writing Committee. The committee consisted of 16 people with domain expertise in internal medicine, cardiovascular medicine, neurology, clinical research, epidemiology, invasive and interventional therapies, outcomes assessment, medical informatics, health information management, and healthcare services research and delivery.

2.2. Relationships With Industry and Other Entities

The ACC/AHA Task Force on Clinical Data Standards makes every effort to avoid actual or potential conflicts of interest that might arise as a result of an outside relationship or a personal, professional, or business interest of any member of the Writing Committee. Specifically, all members of the Writing Committee are required to complete and submit a disclosure form showing all such relationships that could be perceived as real or potential conflicts of interest. These statements are reviewed by the ACC/AHA Task Force on Clinical Data Standards and updated when changes occur. Authors' and peer reviewers' relationships with industry and other entities pertinent to this data standards document are disclosed in Appendices 1 and 2, respectively. In addition, for complete transparency, the disclosure information of each Writing Committee member—including relationships not pertinent to this document—is available as an online supplement at

http://circ.ahajournals.org/lookup/suppl/doi:10.1161/CIR.00000000000000156/-/DC1. The work of the Writing Committee was supported exclusively by the ACC and AHA without commercial support. Writing Committee members volunteered their time for this effort. Meetings of the Writing Committee were confidential and attended only by committee members and staff.

2.3. Review of Literature and Existing Data Definitions

Cardiovascular endpoint concepts have long been used in clinical care and research to ascertain and assess outcomes of diagnostic and therapeutic approaches. A series of reference publications provided the foundation for the cardiovascular endpoint concepts identified by the SCTI and developed by the Writing Committee (5-14)

What makes this work unique is that it reviews and refines the terms as developed by the SCTI explicitly for use in reporting clinical trial results and in regulatory submissions, and it delineates where these concepts could or should not be used as the foundational vocabulary in routine clinical care.

2.4. Development of Terminology Concepts

The terminology set addressed in this body of work includes cardiovascular endpoints of universal interest in clinical care, research, and regulatory review: death (specifically attribution of the cause of death), myocardial infarction, stroke, transient ischemic attack (TIA), coronary intervention (including stent thrombosis), peripheral vascular intervention, hospitalization for unstable angina, and acute heart failure (HF) events.

The Writing Committee aggregated, reviewed, harmonized, and extended these terms to develop a controlled, semantically interoperable, machine-computable terminology set that would be usable, as appropriate, in as many contexts as possible. As necessary, the Writing Committee identified the contexts where individual terms required differentiation depending on their proposed use (i.e., research/regulatory versus clinical care contexts).

The Writing Committee tabulated the content and provided sufficient structure to build and model the informatics formalisms to achieve computational interoperability. The resulting appendices (Appendices 3-7 and 9-11) list the "terminology concept" in the first column, followed by a clinical definition ("concept definition") of the terminology concept in the second column. A data element label is suggested for forms-based approaches to data capture. The allowed responses ("permissible values") for each terminology concept in the next column are the acceptable "answers" for capturing the information. For terminology concepts with multiple permissible values, a bulleted list of the permissible values is provided in the same row as the terminology concept, with successive rows listing each permissible value and corresponding permissible value definition. The process of converting the prose description of an endpoint into this tabular format can be seen by comparing the source text for a HF endpoint event (Appendix 8, an excerpt from the SCTI draft document) and the tabular representation of the same concept in Appendix 7. Where possible, clinical definitions of endpoints (and the corresponding

permissible values) are repeated verbatim as defined by the SCTI or as previously published in reference documents.

2.5. Consensus Development

The ACC/AHA Task Force on Clinical Data Standards established the Writing Committee in November 2012, according to the processes described in the Task Force on Clinical Data Standards' methodology statement (15). As described previously, the responsibility of the Writing Committee was to review and refine the list of candidate terms identified by the SCTI and to harmonize the attributes and other informatics formalisms required to attain interoperability of the terms. During the first 6 months of 2013, the work of the Writing Committee was accomplished through a series of teleconference and Web conference meetings, along with extensive correspondence by e-mail. The review work was distributed among subgroups of the Writing Committee on the basis of their interest and expertise in the components of the terminology set. The proceedings of the work groups were then assembled, resulting in the vocabulary and associated descriptive prose in Section 3. All members reviewed and approved the final vocabulary.

2.6. Relation to Other Standards

The Writing Committee reviewed the work of the SCTI along with available published data standards,

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specifically those developed for death, acute myocardial infarction, stroke, TIA, unstable angina/non–STelevation myocardial infarction, HF, PCI, and peripheral vascular intervention (4, 7, 10, 12, 13, 16-26). Existing published definitions were adjusted to eliminate verbiage not relevant to an actual definition (e.g., instructions such as the phrase "indicate whether the patient has . . ." have been eliminated).

Through the affirmation and refinement of existing data standards, the Writing Committee anticipates that the vocabulary will facilitate the uniform adoption of these terms, where appropriate, by the clinical care, clinical and translational research, regulatory, quality and outcomes, and EHR communities.

2.7. Peer Review, Public Review, and Board Approval

The "2014 ACC/AHA Key Data Elements and Definitions for Cardiovascular Endpoint Events in Clinical Trials" statement was reviewed by official reviewers nominated by the ACC and AHA. To increase its

applicability further, the document was posted on the ACC Web site for a 30-day public comment period. This document was approved for publication by the ACC Board of Trustees on November 12, 2014, and by the AHA Science Advisory and Coordinating Committee on June 13, 2014. The Writing Committee anticipates that these data standards will require review and updating in the same manner as other published guidelines, performance measures, and appropriate use criteria. The Writing Committee will therefore review the set of data elements periodically, starting with the anniversary of publication of the standards, to ascertain whether modifications should be considered.

3. Data Elements and Definitions

As described above, the SCTI identified candidate cardiovascular and stroke endpoint event terms in the draft document "Standardized Definitions for Cardiovascular and Stroke Endpoint Events in Clinical Trials." The document delineated the following cardiovascular and neurological endpoint events in the context of clinical trials and regulatory reporting:

- 1. Cardiovascular death
- 2. Noncardiovascular death
- 3. Undetermined cause of death
- 4. Myocardial infarction
- 5. Hospitalization for unstable angina
- 6. Transient ischemic attack and stroke
- 7. Heart failure event
- 8. Percutaneous coronary intervention
- 9. Peripheral vascular intervention
- 10. Stent thrombosis

The SCTI envisioned that data collection and exchange standards for these endpoint events would allow individual investigators and clinical research organizations to collect and exchange research data consistently Page 15 of 103

and efficiently. It also envisioned that adoption of the controlled terminology within the research and regulatory sectors could facilitate consistency across the clinical care domain.

3.1. Death Attribution

Death is classified into 1 of 3 categories: 1) cardiovascular death; 2) noncardiovascular death; and 3) undetermined cause of death. The intent of the classification schema is to identify one, and only one, of the categories as the underlying cause of death. The key priority is differentiating between cardiovascular and noncardiovascular causes of death (Appendix 3).

Collection of appropriate source documentation is critical for rigorous adjudication of the cause of death.

Although death certificates establish that the patient died, reliance on information included in death certificates may be problematic; several studies have demonstrated inaccurate coding in the death certificate when death certificates were compared with adjudicated outcomes (27, 28). In contrast, autopsy reports are often valuable in assessing the cause of death and should be used whenever possible.

For sudden deaths, even when witnessed, death attribution may be difficult if only limited information is available. Frequently, these deaths are attributed to either sudden cardiac death (cardiovascular death) or death due to an undetermined cause. Sensitivity analyses may be helpful in determining the effect of these events on the primary and major secondary endpoints in a particular clinical trial or development program.

3.1.1. Cardiovascular Cause of Death

Frequently, the cardiovascular death category is not divided further into subcategories such as death resulting from an acute myocardial infarction, sudden cardiac death, or HF, because the cause of death is so often unknown or ambiguous (e.g., Does a death after a myocardial infarction count as a myocardial infarction, sudden death, arrhythmic death, or HF death?). Moreover, the underlying cause of death and the mode of death (i.e., most proximate event associated with death) may overlap substantially. In contrast, precision is more achievable with respect to nonfatal events.

However, in cases where subclassification is desired, the Writing Committee recommends a uniform approach for categorizing the attributable cause (and not just the proximate event) for cardiovascular death. The suggested subcategories for attribution of death to a cardiovascular etiology are acute myocardial infarction, sudden cardiac death, HF, stroke, cardiovascular procedure, cardiovascular hemorrhage, and other cardiovascular causes. "Death due to other cardiovascular causes" refers to a cardiovascular death not included in the above categories but with a specific known cause, such as a pulmonary embolism or peripheral arterial disease. In addition, "death due to cardiovascular hemorrhage" refers to a death related to hemorrhage such as a nonstroke intracranial hemorrhage, nonprocedural or nontraumatic vascular rupture (e.g., aortic aneurysm), or pulmonary hemorrhage from a pulmonary embolism. In contrast, if a pulmonary hemorrhage were a result of a contusion from a motor vehicle accident, the cause of death would be noncardiovascular (death due to trauma).

Although these subcategories may not be applicable to all study populations, therapeutic areas, or drug and device development programs, the events in these subcategories occur relatively frequently in the general population and probably contribute to mortality in any observation. In some trials, subclassification of cardiovascular causes of death may prove helpful in understanding pathophysiology in the context of drug or device programs.

3.1.2. Noncardiovascular Cause of Death

Identifying noncardiovascular causes of death is important when assessing competing mortality risks in both cardiovascular and noncardiovascular trials. The proposed schema for noncardiovascular causes of death is more general than that for cardiovascular causes of death. This noncardiovascular schema could be expanded to capture other causes for specific trials in particular therapeutic areas or for specific drug or device development programs if a specific toxicity has been identified in nonclinical work or early clinical trials. When death is clearly due to a noncardiovascular cause, a cardiovascular cause of death is excluded. The proposed values represent commonly used noncardiovascular categories.

3.1.3. Undetermined Cause of Death

In general, this category of death should apply to few patients in well-run clinical trials. Attribution of causality may be limited or impossible if information available at the time of death is minimal or nonexistent. In such

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cases, the date of death may be the only data element captured.

The key priority is to prespecify how these deaths will be classified and to implement a uniform approach throughout the conduct of the trial. Occasionally, it may not be possible to determine exact causality when 2 lethal conditions contribute to death equally. In this circumstance, 1 condition should be chosen, with consideration of the issue being studied. For example, if cardiac safety is under consideration and the competing causes of death are cardiovascular and noncardiovascular, cardiovascular death should take precedence.

3.2. Myocardial Infarction

The categorization and definitions of the types of myocardial infarction are derived from the "Third Universal Definition of Myocardial Infarction" (13, 14), the "2012 ACCF/AHA Guideline for the Management of Unstable Angina/Non-ST-Elevation Myocardial Infarction" (12), and the "2013 ACCF/AHA Guideline for the Management of ST-Elevation Myocardial Infarction" (23).

The key recommendation is to base thresholds for biomarker detection of myocardial infarction on 99th percentile values (i.e., the upper reference limit) rather than on "upper limit of normal" values. Multiple assays exist for cardiac troponin and the MB fraction of creatine kinase (CK-MB), and assay characteristics vary by manufacturer. Some assays reported by local laboratories provide the 99th percentile and a higher "decision limit" or upper limit of normal above which myocardial infarction should be considered. The "Third Universal Definition of Myocardial Infarction" (13, 14)recommends the use of the 99th percentile upper reference limit as the reference standard. The data elements developed in Appendix4 allow both the 99th percentile and the upper limit of normal (or both) to be captured, depending on the reporting approach used in the central or local laboratory. Instead of listing every cardiac biomarker assay in Appendix 4, we have elected to represent all assays with the generic term [cardiac biomarker]. The actual biomarker assay used should replace the generic term [cardiac biomarker]. Collection of serial biomarker values to capture all measurements (and to reflect rise or fall of the biomarker) would recursively use the same data element construct as the approach for capturing a

single value. Cardiac troponin is the preferred biomarker. If troponin values are not available, then CK-MB mass is used as an alternative.

The terminology set includes data elements for stent restenosis without occlusion as a type of acute myocardial infarction (type 4c) and asymptomatic postbaseline myocardial infarction detected during follow-up. The data elements that reflect old or prior myocardial infarction at baseline are not included here but can be found in the "2013 ACCF/AHA Key Data Elements and Definitions for Measuring the Clinical Management and Outcomes of Patients With Acute Coronary Syndromes and Coronary Artery Disease" (18).

The Writing Committee acknowledges that there is disagreement about how to define a "clinically relevant myocardial infarction" after coronary revascularization (PCI or coronary artery bypass graft). An expert consensus group from the Society for Cardiovascular Angiography and Interventions (29) proposes the use of CK-MB instead of troponin and different cut points from those included in the "Third Universal Definition of Myocardial Infarction"(13, 14). A detailed discussion of these differences is beyond the scope of this publication but is provided by White (30). At this time, the Writing Committee continues to support the "Third Universal Definition of Myocardial Infarction" (13) for harmonization purposes but recognizes that this matter requires further study. As long as cardiac biomarker values (both cardiac troponin and CK-MB) and 99th percentile upper reference limit values are recorded, virtually any definition of periprocedural myocardial infarction can be applied and examined with respect to outcome.

3.3. Hospitalization for Unstable Angina

Hospitalization for unstable angina is a commonly used endpoint in clinical trials evaluating the efficacy or safety of cardiovascular therapies such as lipid-modifying agents, antihypertensive drugs, antithrombotic therapies, and coronary interventions. Unlike traditional endpoints such as death, myocardial infarction, or stroke, hospitalization for unstable angina, by necessity, involves some degree of subjective assessment of the most likely etiology of symptoms resulting in hospital admission. The terminology set for unstable angina (Appendix 5) focuses on data elements needed for determining whether symptoms truly represent cardiovascular

ischemia, including the character and duration of the presenting symptoms, the proximity of symptom onset to hospitalization, and the duration of hospitalization. Electrocardiographic abnormalities are pivotal to the diagnosis. Such abnormalities include the presence or absence of deviations in the ST segment, morphology of ST-segment changes (horizontal or downsloping versus upsloping), and the magnitude of the deviation. Many patients without high-risk features (i.e., patients with low TIMI [Thrombolysis in Myocardial Infarction] or GRACE [Global Registry of Acute Coronary Events] risk scores) undergo provocative testing for inducible myocardial ischemia, requiring measurement of ST elevation or depression during electrocardiographic monitoring. Alternatively, exercise or pharmacological stress testing may involve assessment of wall motion abnormalities on echocardiography and/or reversible perfusion defects by nuclear scintigraphy or magnetic resonance imaging. Other important data elements include angiographic evidence of the severity of coronary stenosis or presence of coronary thrombus in a vessel believed to be responsible for the ischemic signs and symptoms. Additional data elements include the need for coronary revascularization by PCI or coronary bypass surgery of lesion(s) believed responsible for the hospitalization.

The need for escalation of pharmacological therapy (nitrates, beta-blockers, or other antianginal therapy) may provide supportive evidence for a diagnosis of unstable angina. Last, to fulfill the criteria for unstable angina, cardiac biomarkers must be negative and there can be no evidence of acute myocardial infarction.

3.4. Transient Ischemic Attack and Stroke

TIA and stroke endpoints (Appendix 6) are designed to capture the incidence of new TIA and stroke, type of stroke (i.e., ischemic, hemorrhagic, or undetermined), and severity of stroke (i.e., mortality and level of functional disability). The modified Rankin Scale (31) is recommended as the measure of disability. Hemorrhagic stroke may be further subcategorized as intracerebral hemorrhage or subarachnoid hemorrhage if there is sufficient information to make this determination.

The Writing Committee proposes the following definition of TIA: "a transient episode of *focal* neurological dysfunction caused by brain, spinal cord, or retinal ischemia, without acute infarction." This definition is

identical to that adopted by the SCTI (FDA Stroke Team) and is based on one previously proposed in an AHA/American Stroke Association (ASA) scientific statement (9) with one subtle but important difference: as defined by the scientific statement, TIA is "a transient episode of neurological dysfunction caused by *focal* brain, spinal cord, or retinal ischemia, without acute infarction." The SCTI definition that the Writing Committee has adopted emphasizes the clinical presentation rather than the anatomic location of the TIA and may be more appropriate for clinical trial use because the availability of imaging modalities may vary greatly from one study center to the next.

In contrast to TIA, stroke is defined on the basis of the presence of acute infarction as demonstrated by imaging or based on the persistence of symptoms. The Writing Committee acknowledges that the categorization of TIA versus ischemic stroke depends partly on the sensitivity of the diagnostic assessments for brain infarction. For example, patients with symptoms of short duration (e.g., <24 hours) but evidence of infarction on magnetic resonance imaging could be categorized as having had an ischemic stroke. In contrast, in the absence of highly sensitive magnetic resonance imaging evidence, the same patient could be categorized as having had a TIA. The presence of persisting symptoms should be considered sufficient evidence for stroke rather than TIA. Primarily on the basis of consensual practice (rather than objective evidence), the AHA/ASA has recommended the existence of symptoms for at least 24 hours as an operational definition of persisting symptoms to indicate stroke rather than TIA (24). However, the time cut point that best discriminates between infarction and the absence of infarction remains largely undefined. Accordingly, for any clinical trial that plans to use the duration of symptom persistence to operationally discriminate between TIA and stroke, the Writing Committee and SCTI recommend prespecifying the particular duration in the protocol, although both acknowledge that a duration ≥24 hours is frequently used.

Depending on the trial objectives, additional optional information could be recorded for analysis, including physical examination findings (e.g., using the AHA/ASA guideline–recommended National Institutes of Health Stroke Scale), presumed mechanism of ischemic stroke, and impact on additional patient-centered outcomes such as basic or instrumental activities of daily living. Investigators interested in collecting more detailed

information on stroke outcomes should consider using the National Institute of Neurological Disorders and Stroke Common Data Elements, available online at www.commondataelements.ninds.nih.gov.

3.5. Heart Failure Event

HF is a common outcome of many different etiologies and may be associated with cardiovascular and noncardiovascular treatment modalities. Accurate recognition of HF events is important because of the poor outcomes associated with them and because of their increasing prevalence and societal burden. In clinical trials, when a specific uniform definition is lacking, the concurrence between the initial and adjudicated assessment of HF is lower than is the case with adjudications of myocardial infarction and/or stroke (32). This lack of concurrence illustrates the challenges investigators face in classifying HF events and underlines the importance of a standardized definition of event. A consistent definition will ensure that all HF events are accurately reported in all clinical trials and registries.

The proposed HF endpoint event (Appendix7) has been constructed independent of whether the exacerbation of HF results in hospitalization, recognizing that exacerbation of HF can often be managed on an outpatient basis such as with an urgent or unscheduled outpatient office/practice or emergency room visit. Instead, the key characteristic of a HF event is the need for a resource-intensive response to failure of the primary therapeutic management strategy. The terminology set for a HF event requires both subjective and objective findings, including worsening symptoms and signs, as well as laboratory evidence supporting the diagnosis of worsening HF. Also incorporated into the definition is the requirement for a substantive intensification in HF therapies, whether pharmacological, mechanical, or both. For additional details, see Appendix 8 (33-45).

3.6. Percutaneous Coronary Intervention

The vast majority of catheter-based interventional cardiology procedures are performed to treat atherosclerotic coronary artery lesions.

For coronary revascularization procedures, it is important to determine whether the procedure was performed to treat symptoms of myocardial ischemia or based solely on coronary anatomic characteristics. It is also important to document whether the Heart Team considered the patient to be inappropriate for surgical revascularization due to prohibitive comorbidities. Medical records that include a description of symptoms and objective assessments of ischemia should be reviewed to determine whether the revascularization procedure was clinically indicated. Imaging reviews by independent core laboratories (e.g., angiography, intravascular ultrasonography, optical coherence tomography) are particularly useful for reducing potential bias.

The terminology set for PCI (Appendix 9) concentrates on PCI status, procedural success, target lesion failure, target lesion revascularization, and both intraprocedural and vascular complications. Of specific note, the Writing Committee identified limitations in the nomenclature of the coronary arteries as described for the Coronary Artery Surgery Study (CASS) (46), which has subsequently been updated by the Bypass Angioplasty Revascularization Investigators (BARI) (47) and is currently used by the ACC National Cardiovascular Data Registry. For example, the nomenclature does not address the concepts of ostial or bifurcation disease and does not follow a consistent convention for naming coronary segments. The Writing Committee therefore proposes an update to the CASS/BARI/National Cardiovascular Data Registry coronary artery nomenclature (Appendix 10) to better capture data evaluating treatment approaches to ostial and bifurcation disease, improve the consistency and completeness of coronary artery nomenclature, include the Medina Classification (48, 49) as a standard, and reflect universal conventions and terminology currently used by angiography core laboratories. Finally, as new classes of intracoronary therapy (e.g., drug-coated balloons, bioresorbable drug-eluting stents/scaffolds) are developed, novel mechanisms of failure may be identified that will require modification and addition to this controlled vocabulary.

3.7. Peripheral Vascular Intervention

Peripheral artery disease (PAD) is widespread. Of all the atherosclerotic syndromes, the clinical relevance of PAD is poorly appreciated by primary care physicians, cardiovascular specialists, and patients alike. Not only

does PAD reduce the physical functioning of affected patients, but it is associated with a marked increase in allcause and cardiovascular mortality.

Although vascular disease is defined as "all diseases of the arteries, veins, and lymphatic vessels" (10), for simplicity, this vocabulary for peripheral vascular intervention endpoints focuses on data elements that describe revascularization interventions involving the peripheral arterial circulation. These data standards concentrate on PAD involving the infrarenal aorta, iliac, and infrainguinal arteries and carotid, renal, mesenteric, and aortic interventions. Of note, upper extremity or intracranial vascular diseases are beyond the scope of this publication.

Appendix 11 lists the vocabulary to facilitate uniform reporting of endovascular and surgical interventions for patients with PAD, thereby allowing comparisons of drug, device, and surgical treatments for PAD. Included are harmonized definitions of success and failure that are derived from the coronary revascularization terminology, including concepts of target lesion and target vessel revascularization. Although somewhat arbitrary, the proposed construct includes the division of the lower extremity arterial circulation into the 3 "vessel" territories, or levels (aorto-iliac, femoral-popliteal, and tibioperoneal) analogous to the division of the 3 coronary vessel territories.

As new classes of endovascular therapy (e.g., drug-coated balloons, bioresorbable drug-eluting stents/scaffolds) are developed, novel mechanisms of failure may be identified that will require modification and addition to this controlled vocabulary.

3.8. Stent Thrombosis

According to the classification proposed by the Academic Research Consortium, stent thrombosis is defined as definite, probable, or possible (7). Definite stent thrombosis is defined as occurring when clinical presentation is consistent with acute coronary syndrome and angiography or autopsy examination confirm stent occlusion or thrombus. Probable stent thrombosis is defined as death occurring within 30 days that cannot be attributed to

another cause or when myocardial infarction occurs at any time point and is attributable to the target vessel in the absence of angiography confirming another culprit lesion. Finally, *possible* stent thrombosis is defined as occurring when the patient dies after >30 days and death is not explained by another cause. The terminology set (Appendix 9) focuses on data elements required for confirmation of stent thrombosis. To classify these events accordingly, the following information is required: clinical details surrounding the acute event; dates and procedural information for all prior stent procedures; serial electrocardiograms at the time of the event and for appropriate duration of follow-up; serial cardiac biomarkers; results of coronary angiography with review by an independent angiographic core laboratory or independent clinical events committee; and clinical details surrounding all deaths, including death certificate and autopsy report if applicable. When available data support >1 classification, the highest level of certainty should be reported.

4. Informatics of Controlled Vocabularies



Variability in the definitions, formatting, and encoding of clinical concepts hinders the use, exchange, and analysis of information in health care. Efficient use of healthcare information requires both syntactic interoperability (i.e., standards and protocols for formatting, packaging, and transmission required for computer-to-computer data transfer) and semantic interoperability (i.e., the capacity of computer systems to transmit data with unambiguous, shared meaning, enabling machine-computable logic, data federation, inferential processing, and knowledge discovery) (50, 51)To achieve these forms of interoperability, the Writing Committee specified the attributes of the endpoint concepts relevant to the informatics of controlled vocabularies. These attributes (terminology concept, concept definition, permissible values, permissible value definitions) are only a subset of those needed to characterize data elements. Other attributes are still needed to fully qualify a terminology set as a controlled vocabulary; these include preferred abbreviation, concept unique identifier, data type, data format, relationships to other terms, use of case context describing where and when a concept is assessed, and concept steward. The need to be explicit is particularly relevant because the class of *endpoint events* represents summative concepts more useful for assessing responses and outcomes to therapeutic approaches and treatments. The use of summative concepts contrasts with the emphasis in EHR solutions on *diagnoses* as

classified by taxonomies such as the *International Classification of Disease* and the Systemized Nomenclature of Medicine—Clinical Terms.

Under FDA grant 1R24FD004411-01, this terminology set has been developed as a controlled vocabulary in the cardiovascular domain of the Clinical Data Acquisition and Standards Harmonization, and the tabular representation of this work is available for download at

http://circ.ahajournals.org/lookup/suppl/doi:10.1161/CIR.000000000000156/-/DC2 and

http://circ.ahajournals.org/lookup/suppl/doi:10.1161/CIR.0000000000000156/-/DC3. The terminology set will also be developed in an International Organization for Standardization/International Electrotechnical Commission 11179 standard metadata repository; this specification provides a standardized grammar and syntax for describing data elements and associated metadata, resulting in unambiguous representation and interpretation of data(52, 53). Specifically, the endpoint concepts will be represented in the National Institutes of Health/National Cancer Institute Data Standards Registry and Repository to facilitate the use of the terminology set across the clinical care, research, and regulatory domains. Finally, it is intended for this terminology set to be developed and balloted through the HL7 EHR System Functional Model process to further foster adoption in EHR systems.

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The Writing Committee acknowledges that cardiovascular and stroke endpoint event concepts are a subset of a larger set of cardiovascular endpoints. In particular, additional concepts such as those describing carotid/cerebral revascularization, peripheral surgical revascularization, aortic dissection, abdominal aortic aneurysm, aortic surgery, and valvular heart disease remain to be developed.

Staff

American College of Cardiology
Patrick T. O'Gara, MD, FACC
Shalom Jacobovitz, Chief Executive Officer
Lara E. Slattery, MHS, Team Leader, ACC Scientific Reporting
Amelia Scholtz, PhD, Publications Manager, Clinical Policy and Pathways
Page 26 of 103

American College of Cardiology/American Heart Association

Maria Lizza D. Isler, BSMT, Specialist, Clinical Data Standards

American Heart Association

Elliott Antman, MD, FAHA
Nancy Brown, Chief Executive Officer
Rose Marie Robertson, MD, FACC, FAHA, Chief Science Officer
Gayle R. Whitman, PhD, RN, FAHA, FAAN, Senior Vice President, Office of Science Operations
Melanie B. Turner, MPH, Science and Medicine Advisor, Office of Science Operations
Jody Hundley, Production Manager, Scientific Publications, Office of Science Operations

Key Words: AHA Scientific Statements ■ clinical trials ■ cardiovascular endpoints ■ clinical events ■ death ■ myocardial infarction ■ stroke ■ percutaneous coronary intervention ■ peripheral vascular intervention ■ heart failure ■ unstable angina



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Appendix 1. Author Relationships With Industry and Other Entities (Relevant)—2014 ACC/AHA Key Data Elements and Definitions for Cardiovascular Endpoint Events in Clinical Trials

Name	Employment	Consultant	Speakers Bureau	Ownership/ Partnership/ Principal	Research	Institutional, Organizational, or Other Financial Benefit	Expert Witness
Karen A. Hicks (Chair)	FDA	None	None	None	None	None	None
James E. Tcheng (Vice-Chair)	Duke University Medical Center	None	None	None	NIH	Duke University Medical Center—Phili ps Medical Systems	None Heart
Judith H. Lichtman (TFDS Liaison)	Yale University School of Medicine, Department of Epidemiology and Public Health	None	None	None	None	None	None
Marian C. Limacher (AHA Representative)	University of Florida, Division of Cardiovascul ar Medicine	None	None HE AMER	None	None	None	None
Biykem Bozkurt	Michael E. DeBakey VA Medical Center	None	None	None	None	None	None
Bernard R. Chaitman	St. Louis University School of Medicine, Core ECG Laboratory	None	None	None	None	None	None

Name	Employment	Consultant	Speakers Bureau	Ownership/ Partnership/ Principal	Research	Institutional, Organizational, or Other Financial Benefit	Expert Witness
Donald E. Cutlip	Beth Israel Deaconess Medical Center, Interventional Cardiology	None	None	None	None	None	None
Andrew Farb	FDA	None	None	None	None	None	None
Gregg C. Fonarow	Ahmanson- UCLA Cardiomyopa thy Center, Division of Cardiology	None	None	None	None	None	None Hand
Jeffrey P. Jacobs	Cardiac Surgical Associates	None	None	None	None	None	None
Michael R. Jaff	Massachusett s General Hospital	None	None	None	None	None	None
Kenneth W. Mahaffey	Stanford University School of Medicine	None	None	None	None	None	None
Roxana Mehran	Mount Sinai Medical Center	None	None	None H E	None	None	None
Steven E. Nissen	Cleveland Clinic Foundation, Department of Cardiovascul ar Medicine	None	None	None	None	None	None
Eric E. Smith	Calgary Stroke Program, Department	None	None	None	None	None	None

Name	Employment	Consultant	Speakers Bureau	Ownership/ Partnership/ Principal	Research	Institutional, Organizational, or Other Financial Benefit	Expert Witness
	of Clinical Neuroscience s						
Shari L. Targum	FDA	None	None	None	None	None	None

This table represents the relationships of committee members with industry and other entities that were determined to be relevant to this document. These relationships were reviewed and updated in conjunction with all meetings and/or conference calls of the Writing Committee during the document development process. The table does not necessarily reflect relationships with industry at the time of publication. A person is deemed to have a significant interest in a business if the interest represents ownership of 5% or more of the voting stock or share of the business entity, or ownership of \$10,000 or more of the fair market value of the business entity; or if funds received by the person from the business entity exceed 5% of the person's gross income for the previous year. Relationships that exist with no financial benefit are also included for the purpose of transparency. Relationships in this table are modest unless otherwise noted.

ACC/AHA indicates American College of Cardiology/American Heart Association; ECG, electrocardiography; FDA, U.S. Food and Drug Administration; TFDS, Task Force on Data Standards; UCLA, University of California Los Angeles; and VA, Veterans Affairs.



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Appendix 2. Reviewer Relationships With Industry and Other Entities—2014 ACC/AHA Key Data Elements and Definitions for Cardiovascular Endpoint Events in Clinical Trials

Name	Representation	Employment	Consultant	Speakers Bureau	Ownership/ Partnership /Principal	Research	Institutional, Organizational, or Other Financial Benefit	Expert Witness
Athena Poppas	ACC—Board of Trustees	Rhode Island Hospital, Division of Cardiology, and Brown Medical School— Associate Professor of Medicine	None	None	None	None	None Ha	None
Dhanunjaya Lakkireddy	ACC—Board of Governors	University of Kansas Hospital— Professor of Medicine	• St. Jude	Boehringer Ingelheim Jansen	None	None	None	None
Jean-Pierre Bassand	ACC—Assembly of International Governors	University Hospital Jean- Minjoz Pole Coeur Poumon	Bayer	AstraZeneca GlaxoSmith Kline	None	None	None	None
Harold Adams	AHA—Official Reviewer	University of Iowa Hospitals & Clinics— Professor, Neurology	None HE ALL	None	None HELET	None ASSOCI	None	None
Steven J. Kittner	AHA—Official Reviewer	University of Maryland School of Medicine— Professor of Neurology	None	None	None	None	None	None
Tracy Y. Wang	ACC/AHA Task Force on Data Standards Lead Reviewer	Duke University School of Medicine— Associate Professor of Medicine	ACC AstraZeneca	None	None	ASNC Eli Lilly/Daiichi Sankyo Alliance Gilead GlaxoSmithKline	None	None

Name	Representation	Employment	Consultant	Speakers Bureau	Ownership/ Partnership /Principal	Research	Institutional, Organizational, or Other Financial Benefit	Expert Witness
Kelly P. Anderson	Content Reviewer	Marshfield Clinic	None	None	None	None	None	None
Alfred A. Bove	Content Reviewer	Temple University Hospital— Professor of Medicine	Insight Telehealth, LLC World Health Networks, Inc	None	• Insight Telehealth , LLC	Merck Schering Plough	None	None
Virginia Howard	Content Reviewer	University of Alabama at Birmingham— Professor	Bayer Healthcare	None	None	NIH Principal Investigator	None	• Chantix and Risk of Adverse Events, 2012—Defendant
Ileana L. Pina	Content Reviewer	Montefiore Medical Center— Associate Chief for Academic Affairs	GE Healthcare Novartis	None	None	None	None	None
Diane Reeves	Content Reviewer	National Cancer Institute— Associate Director, Biomedical Data Standards	None	None	None	None	None	None
Peter Smith	Content Reviewer	Marshfield Clinic	None	None	None	None	None	None

This table represents the relationships of committee members with industry and other entities that were determined to be relevant to this document. These relationships were reviewed and updated in conjunction with all meetings and/or conference calls of the Writing Committee during the document development process. The table does not necessarily reflect relationships with industry at the time of publication. A person is deemed to have a significant interest in a business if the interest represents ownership of 5% or more of the voting stock or share of the business entity, or ownership of \$10,000 or more of the fair market value of the business entity; or if funds received by the person from the business entity exceed 5% of the person's gross income for the previous year. Relationships that exist with no financial benefit are also included for the purpose of transparency. Relationships in this table are modest unless otherwise noted.

ACC/AHA indicates American College of Cardiology/American Heart Association; and NIH, National Institutes of Health.

Appendix 3. Death Attribution

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
Death—System Attribution	Classification of the cause of death by physiological system. Note: Classification may be difficult because this classification schema encompasses both underlying cause (e.g., acute MI) and mode of death concepts (sudden/arrhythmic, progression of HF), and they overlap substantially.	Primary Cause of Death	CV: acute MI CV: sudden cardiac death CV: HF CV: Stroke CV: CV procedure CV: CV hemorrhage CV: other Pulmonary Renal Gastrointestinal Hepatobiliary Pancreatic Infection	1 4	http://www.cdisc.org/therapeutic (54)
		C	• Inflammatory/ immune (including autoimmune) • Hemorrhage • Non-CV	latt)]]
	JOURNAL	OF TH	Trauma Suicide Nonprescription drug reaction or overdose Prescription drug reaction or overdose Prescription drug reaction or overdose Neurological Malignancy Other non-CV	GAN HEART ASSOCIAT	ION
			CV: acute MI	Death by any cardiovascular mechanism (arrhythmia, sudden death, HF, stroke, pulmonary embolus, PAD)	

Label within 30 d after an acute MI, related to the immediate consequences of the MI, such as progressive HF or recalcitrant arrhythmia. There may be assessable (attributable) mechanisms of cardiovascular death during this time period, but for simplicity, if the cardiovascular death occurs within 30 d of an acute MI, it will be considered a death due	Terminology Concept	Concept Definition	Suggested Data Element	Permissible Values	Permissible Value Definitions	Citations and References
Note: Acute MI should be verified to the extent possible by the diagnostic criteria outlined for acute MI or by autopsy findings showing recent MI or recent coronal from a procedure to treat an MI (PCI or CABG), or to treat a complication resulting from MI, should also be considered death due to acute MI. Death resulting from an elective coronary procedure to treat myocardial tocate shale angina) or death due to an MI that occurs as a direct consequence of a cardiovascular investigation/procedure/operation should be considered as a death due to a cardiovascular investigation/procedure/operation should be considered as a death due to a cardiovascular procedure. CV: sudden cardiac death Death that occurs unexpectedly and not within 30 d of an acute MI. Note: Sudden cardiac death includes the following scenarios: Death witnessed and occurring without new or worsening symptoms Death witnessed and occurring without new or worsening symptoms. Death witnessed within 60 min of the onset of new or worsening cardiac symptoms unless the symptoms suggest acute MI. Death witnessed and attributed to an identified arrhythmis electrocardiographic recording, witnessed on a menitor, our witnessed but found on ICD review) Death after unsuccessful resuscitation from cardiac arrest (e.g., LCD unresponsive sudden cardiac death, pulseless electrical activity arrest) Death after successful resuscitation from cardiac	Concept	JOURNAL	Element Label	CV: sudden	immediate consequences of the MI, such as progressive HF or recalcitrant arrhythmia. There may be assessable (attributable) mechanisms of cardiovascular death during this time period, but for simplicity, if the cardiovascular death occurs within 30 d of an acute MI, it will be considered a death due to MI. Note: Acute MI should be verified to the extent possible by the diagnostic criteria outlined for acute MI or by autopsy findings showing recent MI or recent coronary thrombosis. Death resulting from a procedure to treat an MI (PCI or CABG), or to treat a complication resulting from MI, should also be considered death due to acute MI. Death resulting from an elective coronary procedure to treat myocardial ischemia (i.e., chronic stable angina) or death due to an MI that occurs as a direct consequence of a cardiovascular investigation/procedure/operation should be considered as a death due to a cardiovascular procedure. Death that occurs unexpectedly and not within 30 d of an acute MI. Note: Sudden cardiac death includes the following scenarios: Death witnessed and occurring without new or worsening symptoms Death witnessed within 60 min of the onset of new or worsening cardiac symptoms unless the symptoms suggest acute MI Death witnessed and attributed to an identified arrhythmia (e.g., captured on an electrocardiographic recording, witnessed on a monitor, or unwitnessed but found on ICD review) Death after unsuccessful resuscitation from cardiac arrest (e.g., ICD unresponsive sudden cardiac death, pulseless electrical activity arrest)	American Heart Association.

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
				cardiac or noncardiac etiology Unwitnessed death in a subject seen alive and clinically stable ≤24 h before being found dead without any evidence supporting a specific noncardiovascular cause of death (information about the patient's clinical status preceding death should be provided if available)	
				Unless additional information suggests an alternate specific cause of death (e.g., Death due to Other Cardiovascular Causes), if a patient is seen alive ≤24 h before being found dead, sudden cardiac death (criterion 2f) should be recorded. For patients who were not observed alive within 24 h of death, undetermined cause of death should be recorded (e.g., a subject found dead in bed but who had not been seen by family members for >24 h).	American Heart Association
	7:1	• 0	CV: HF	Death associated with clinically worsening symptoms and/or signs of HF, regardless of HF etiology Note: Deaths due to HF can have various etiologies, including single or recurrent MIs, ischemic or	110
		()		nonischemic cardiomyopathy, hypertension, or valvular disease.	
			CV: stroke	Death after a stroke that is either a direct consequence of the stroke or a complication of the stroke. Note: Acute stroke should be verified to the extent possible by the diagnostic criteria outlined for stroke.	
	JOURNAL	OF TH	CV: CV procedure	Death caused by the immediate complication(s) of a Cardiovascular procedure	ION
			CV: CV hemorrhage	Death related to hemorrhage such as a nonstroke intracranial hemorrhage, (e.g., subdural hematoma) nonprocedural or nontraumatic vascular rupture (e.g., aortic aneurysm), or hemorrhage causing cardiac tamponade	
			CV: other	Cardiovascular death not included in the above categories but with specific, known cause (e.g., PE, PAD)	
			Pulmonary	Noncardiovascular death attributable to disease of the lungs (excludes malignancy)	

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
			Renal	Noncardiovascular death attributable to renal failure	
			Gastrointestinal	Noncardiovascular death attributable to disease of the esophagus, stomach, or intestines (excludes malignancy)	
			Hepatobiliary	Noncardiovascular death attributable to disease of the liver, gall bladder, or biliary ducts (excludes malignancy)	
			Pancreatic	Noncardiovascular death attributable to disease of the pancreas (excludes malignancy)	el
			Infection	Noncardiovascular death attributable to an infectious disease.	Heart Association
				Note: Includes sepsis.	
			Inflammatory/ immune (including	Noncardiovascular death attributable to an inflammatory or immune-mediated disease or process	
	7		autoimmune)	Note: Includes SIRS, immunological, and autoimmune diseases and disorders. Includes anaphylaxis from environmental (e.g., food) allergies.	
	11	1	Hemorrhage	Noncardiovascular death attributable to bleeding that is not considered cardiovascular hemorrhage or stroke according to this classification schema	m
			Non-CV procedure or surgery	Death caused by the immediate complication(s) of a noncardiovascular procedure or surgery	
	JOURNAL	OF TH	Trauma	Noncardiovascular death attributable to trauma. Includes homicide.	ION
			Suicide	Noncardiovascular death attributable to suicide	
			Nonprescription drug reaction or overdose	Noncardiovascular death attributable to a nonprescription drug reaction or overdose	
			Prescription drug reaction or overdose	Noncardiovascular death attributable to a prescription drug reaction or overdose. Note: Includes anaphylaxis.	
			Neurological	Noncardiovascular death attributable to disease of the nervous system (excludes malignancy).	

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
				Note: Excludes cardiovascular death from ischemic stroke, hemorrhagic stroke, or undetermined cause of stroke, or cardiovascular hemorrhage of central nervous system	
			Malignancy	Noncardiovascular death attributable to leukemia, lymphoma, or other malignancy.	
			Other non-CV; specify	Noncardiovascular death attributable to a cause other than those listed in this classification (specify organ system)	
Death—Date—Ti me	Date and time of death	Death Date_Time	Date-time		Heart

CABG indicates coronary artery bypass graft; CV, cardiovascular; HF, heart failure; ICD, implantable cardioverter-defibrillator; MI, myocardial infarction; PAD, peripheral artery disease; PCI, percutaneous coronary intervention; PE, pulmonary embolism; and SIRS, systemic inflammatory response syndrome.

Circulation

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Appendix 4. Myocardial Infarction

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
Acute MI	Clinical syndrome where there is evidence of myocardial necrosis in a clinical setting consistent with acute myocardial ischemia.	Acute Myocardial Infarction Indicator	• Yes • No		Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. J Am Coll Cardiol. 2012;60:1581-98.(14) Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. Circulation. 2012;126:2020-35.(13)
Acute MI—Date— Time	Date and time of onset of acute MI.	Acute Myocardial Infarction Date_Time	Date-time	1 41	10
Acute MI—Type	Type of acute MI, classified according to the Joint ESC/ACCF/AHA/WHF Joint Task Force for the Universal Definition of Myocardial Infarction.	Acute Myocardial Infarction Type	• Type 1: spontaneous • Type 2: ischemic imbalance • Type 3: death,	latt	Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. J Am Coll Cardiol. 2012;60:1581-98.(14)
	Note: cTn-I or -T is the preferred biomarker. If a cTn assay is not available, the best alternative is CK-MB (measured by mass assay).	OF TH	no biomarkers Type 4a: PCI related Type 4b: stent thrombosis Type 4c: stent restenosis Type 5: CABG related	CAN HEART ASSOCIAT	Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. Circulation. 2012;126:2020-35. (13)
			Type 1: spontaneous	Spontaneous clinical syndrome related to atherosclerotic plaque rupture, ulceration, fissuring, erosion, or dissection, with resulting intraluminal thrombus, and leading to decreased myocardial blood flow or distal platelet emboli with ensuing myocyte necrosis. This classification requires a) Detection of a rise and/or fall of cardiac biomarker	

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
				values (preferably cTn) with at least 1 value >99th percentile of the URL and b) At least 1 of the following: 1) Symptoms of myocardial ischemia 2) New or presumed new significant ST-segment—T wave (ST—T) changes or new LBBB on the ECG 3) Development of pathological Q waves on the ECG 4) Imaging evidence of new loss of viable myocardium or new regional wall motion abnormality 5) Identification of an intracoronary thrombus by angiography or autopsy. Notes: One or more coronary arteries may be involved. The patient may have underlying severe	American Heart Association.
	JOURNAL	OF TH	Type 2: ischemic imbalance	CAD but on occasion may have nonobstructive CAD. Spontaneous clinical syndrome where a condition other than CAD contributes to an imbalance between myocardial oxygen supply and/or demand (e.g., coronary endothelial dysfunction, coronary artery spasm, coronary embolism, tachy-/bradyarrhythmias, anemia, respiratory failure, hypotension, and hypertension with or without LVH). This classification requires a) Detection of a rise and/or fall of cardiac biomarker values (preferably cTn) with at least 1 value >99th percentile of the URL and b) At least 1 of the following: 1) Symptoms of myocardial ischemia 2) New or presumed new significant ST-segment—T wave (ST—T) changes or new LBBB on the ECG 3) Development of pathological Q waves on the ECG 4) Imaging evidence of new loss of viable myocardium or new regional wall motion abnormality.	101
			Type 3: death, no biomarkers	Death where symptoms suggestive of myocardial ischemia are present, and with (presumed) new ischemic changes or new LBBB on ECG, but where death occurs before cardiac biomarkers can be obtained or could rise or (in rare cases) were not collected.	
			Type 4a: PCI	MI associated with and occurring within 48 h of PCI,	

Terminology Concept	Concept Definition	Suggested Data	Permissible Values	Permissible Value Definitions	Citations and References
Concept		Element Label	v ardes		
			related	with elevation of cardiac biomarker values to >5 × 99th percentile of the URL in patients with normal baseline values (≤99th percentile URL), or a rise of cardiac biomarker values ≥20% if baseline values are elevated and are stable or falling. This classification also requires at least 1 of the following: a) Symptoms suggestive of myocardial ischemia (i.e., prolonged ischemia ≥20 min) b) New ischemic changes on ECG or new LBBB c) Angiographic loss of patency of a major coronary artery or a side branch or persistent slow flow or no flow or embolization d) Imaging evidence of new loss of viable myocardium or new regional wall motion abnormality.	American Heart Association.
	7.		Type 4b: stent thrombosis	MI associated with stent thrombosis as detected by coronary angiography or at autopsy, where symptoms suggestive of myocardial ischemia are present, and with a rise and/or fall of cardiac biomarker values, with at least 1 value >99th percentile of the URL.	
		.C	Type 4c: stent restenosis	MI associated with stent restenosis as detected by coronary angiography or at autopsy, occurring >48 h after PCI, without evidence of stent thrombosis but with symptoms suggestive of myocardial ischemia, and with elevation of cardiac biomarker values to >99th percentile of the URL. This classification also requires the following: a) Does not meet criteria for any other classification)n
	JOURNAL	OF TH	E AMER	of MI b) Presence of a ≥50% stenosis at the site of previous successful stent PCI or a complex lesion and no other significant obstructive CAD of greater severity following 1) Initially successful stent deployment, or	ION
				2) Dilation of a coronary artery stenosis with balloon angioplasty to <50% stenosis Note: Type 4c is described in the text of the "Third Universal Definition of Myocardial Infarction."	
			Type 5: CABG related	MI associated with and occurring within 48 h of CABG surgery, with elevation of cardiac biomarker values to >10 × 99th percentile of the URL in patients with normal baseline cardiac biomarker values (≤99th	

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
				percentile URL). This classification also requires at least 1 of the following: a) New pathological Q waves, new LBBB on ECG b) Angiographic new graft or new native coronary artery occlusion c) Imaging evidence of new loss of viable myocardium or new regional wall motion abnormality.	
Acute MI—Symptoms, Acute	Presence of acute symptoms of myocardial ischemia, such as chest, upper extremity, mandibular, or epigastric discomfort, or an ischemic equivalent such as dyspnea or fatigue. This is one of the noncardiac marker criteria supporting the diagnosis of acute MI types 1, 2, 3, 4a, 4b, and 4c.	Myocardial Ischemia Acute Symptom Indicator	• Yes • No	1 4:	Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. J Am Coll Cardiol. 2012;60:1581-98. (14) Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. Circulation. 2012;126:2020-35. (13)
Acute MI—Symptom Onset Date–Time	Date and time of onset of symptoms of acute MI.	Myocardial Ischemia Symptom Onset Date_Time	Date-time	Iaul(
Acute MI—Acute Ischemic Changes on ECG	Presence of new or presumed new significant ST-segment—T wave (ST-T) changes or new LBBB consistent with acute myocardial ischemia. This is one of the noncardiac marker criteria supporting the diagnosis of acute MI types 1, 2, 3, and 4.	Acute Ischemic ECG Change Type	• Ischemic changes on ECG • LBBB	CAN HEART ASSOCIAT	Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. J Am Coll Cardiol. 2012;60:1581-98. (14) Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. Circulation. 2012;126:2020-35. (13)
			Ischemic changes on ECG	In the absence of LVH and LBBB pattern (or other confounder such as a paced rhythm) on ECG, either a) new (or presumed new) ST elevation at the J point in 2 contiguous leads with the following cut points: \geq 0.1 mV in all leads other than leads V ₂ to V ₃ where the	, ,

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
				following cut points apply: ≥ 0.2 mV in men ≥ 40 y of age; ≥ 0.25 mV in men < 40 y of age, or ≥ 0.15 mV in women; or b) new (or presumed new) horizontal or downsloping ST-segment depression ≥ 0.05 mV in 2 contiguous leads and/or T inversion ≥ 0.1 mV in 2 contiguous leads with prominent R wave or R/S ratio > 1 .	
			LBBB	New (or presumed new) LBBB pattern on ECG.	
Acute MI—New Q Waves on ECG	Presence of new or presumed new pathological Q waves consistent with MI. This is one of the noncardiac marker criteria supporting the diagnosis of acute MI types 1, 2, 4, and 5.	ECG Change, New Q-Wave Indicator	• New Q waves	New (or presumed new) a) Q wave in leads V_2 to $V_3 \ge 0.02$ s or QS complex in leads V_2 and V_3 ; b) Q wave ≥ 0.03 s and ≥ 0.1 mV deep or QS complex in leads I, II, aVL, aVF, or V_4 to V_6 in any 2 leads of a contiguous lead grouping (I, aVL; V_1 to V_6 ; II, III, aVF; V_7 to V_9); or c) R wave ≥ 0.04 s in V_1 to V_2 and R/S ≥ 1 with a concordant positive T wave in the absence of a conduction defect.	Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. J Am Coll Cardiol. 2012;60:1581-98. (14) Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. Circulation. 2012;126:2020-35. (13)
Acute MI— Coronary Thrombus Present	Presence of thrombus in a major epicardial vessel consistent with an acute MI. This is one of the noncardiac marker criteria supporting the diagnosis of acute MI type 1.	Identification of Coronary Artery Thrombus With Acute Myocardial Infarction	Thrombus on angiographyThrombus at autopsy	Idul	Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. J Am Coll Cardiol. 2012;60:1581-98. (14)
	Journal	OF TH	E AMER	CAN HEART ASSOCIAT	Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. Circulation. 2012;126:2020-35. (13)
			Thrombus on angiography	In the patient with a presumed acute STEMI, the angiographic appearance of thrombus (typically a filling defect) on angiography. This includes the aspiration of thrombus from the infarct vessel before coronary intervention during primary PCI for acute STEMI.	
			Thrombus at autopsy	Identification of thrombus in a major epicardial vessel at autopsy.	
Acute MI—	Demonstration of a new	Change in	New loss of		Thygesen K, Alpert JS, Jaffe

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
Change in Noninvasive Imaging	change in myocardial viability or function consistent with MI. This is one of the noncardiac marker criteria supporting the diagnosis of acute MI types 1, 2, 4a, and 5.	Non-Invasive Imaging Type	viable myocardium • New regional wall motion abnormality		AS, et al. Third universal definition of myocardial infarction. J Am Coll Cardiol. 2012;60:1581-98. (14) Thygesen K, Alpert JS, Jaffe AS, et al. Third universal
					definition of myocardial infarction. Circulation. 2012;126:2020-35. (13)
			New loss of viable myocardium	Noninvasive imaging evidence of a loss of viable myocardium when compared with the most recent previous noninvasive imaging study.	Heart Association
	7.		New regional wall motion abnormality	Noninvasive imaging evidence of a decrease in regional wall motion contractility compared with the most recent previous noninvasive imaging study.	
Acute MI—PCI Angiographic Complication	Occurrence of an adverse angiographic finding during PCI consistent with acute myocardial ischemia. This is one of the noncardiac marker criteria supporting the diagnosis of acute MI type	PCI Acute Angiographic Complication	Loss of major coronary Loss of side branch Slow flow/no flow/embolizati on	latic	Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. J Am Coll Cardiol. 2012;60:1581-98. (14)
	Journal	OF TH		CAN HEART ASSOCIAT	Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. Circulation. 2012;126:2020-35. (13)
			Loss of major coronary	Angiographic loss of patency of a major epicardial vessel.	
			Loss of side branch	Angiographic loss of patency of a side branch.	
			Slow flow/no flow/embolizatio n	Angiographic reduction of flow into the coronary microcirculation.	
Acute MI—Acute Vessel Occlusion After CABG	Angiographic documentation of a new CABG or new native coronary artery occlusion within 48 h of CABG surgery. This is one	Vessel Occlusion After Coronary Artery Bypass	• Yes • No		Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. J Am Coll Cardiol. 2012;60:1581-98.

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
	of the noncardiac marker criteria supporting the diagnosis of acute MI type 5.	Graft Surgery Indicator			Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. Circulation. 2012;126:2020-35. (13)
Prior MI	Presence of any one of the following criteria meets the diagnosis for prior MI (before study initiation): a) Pathological Q waves with or without symptoms in the absence of nonischemic causes b) Imaging evidence of a region of loss of viable myocardium that is thinned and/or fails to contract, in the absence of a nonischemic cause c) Pathological findings of a prior MI.	Prior Myocardial Infarction Indicator	• Yes • No	1041	Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. J Am Coll Cardiol. 2012;60:1581-98. (14) Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. Circulation. 2012;126:2020-35. (13)
Cardiac Biomarker— Name	Name of cardiac biomarker test, per LOINC nomenclature.	Cardiac Biomarker Test	• LOINC Test Name		LOINC®. Available at www.loinc.org. (55)
Cardiac Biomarker— Value	Serum concentration result of a cardiac biomarker test.	Cardiac Biomarker Value	• Value		TION
Cardiac Biomarker—Unit	Unit of measure of the result of a cardiac biomarker test.	Cardiac Biomarker Unit	nanogram per liter nanogram per milliliter pictogram per milliliter	CAR HEART MOSCI	
Cardiac Biomarker— Date–Time	Date and time a specimen was obtained for the assay of a cardiac biomarker.	Cardiac Biomarker Date_Time	• Date–time		
Cardiac Biomarker—99% URL	The 99% URL of a cardiac biomarker.	Cardiac Biomarker 99% URL Value	Value		
Cardiac	Unit of measure of the 99%	Cardiac	• nanogram per		

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
Biomarker—99% URL Unit	URL of a cardiac biomarker.	Biomarker 99% URL Unit	liter • nanogram per milliliter • pictogram per milliliter		
Cardiac Biomarker—ULN	The ULN of a cardiac biomarker.	Cardiac Biomarker ULN Value	• Value		
Cardiac Biomarker—ULN Unit	Unit of measure of the ULN of a cardiac biomarker.	Cardiac Biomarker ULN Unit	 nanogram per liter nanogram per milliliter pictogram per milliliter 		American Heart Association.

ACC indicates American College of Cardiology; ACCF, American College of Cardiology Foundation; AHA, American Heart Association; CABG, coronary artery bypass graft; CAD, coronary artery disease; CK-MB, creatinine kinase MB; cTn, cardiac troponin; ECG, electrocardiogram; ESC, European Society of Cardiology; HF, heart failure; ICD, implantable cardioverter-defibrillator; LBBB, left bundle-branch block; LOINC, Logical Observation Identifiers Names and Codes; LVH, left ventricular hypertrophy; MI, myocardial infarction; PCI, percutaneous coronary intervention; STEMI, ST-segment elevation myocardial infarction; ULN, upper limit of normal; URL, upper reference limit; and WHF, World Heart Federation.

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Appendix 5. Hospitalization for Unstable Angina

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
UA Hospitalization	Unscheduled hospitalization for the management of UA, occurring within 24 h of the most recent symptoms. Hospitalization is defined as an admission to an inpatient unit or a visit to an ED that results in at least a 24-h stay (or a change in calendar date if the hospital admission or discharge times are not available). This classification requires that 4 separate criteria be met: a) Worsening ischemic discomfort b) Unscheduled hospitalization c) Objective evidence of myocardial ischemia d) Negative cardiac biomarkers Note: Escalation of pharmacotherapy for myocardial ischemia, while considered supportive evidence, is not sufficient to qualify as UA hospitalization without objective evidence of ischemia. Admission for suspected UA does not qualify as a UA hospitalization if a noncardiac or nonischemic etiology is subsequently identified.	Unstable Angina Event Indicator	• Yes • No	1311(CAN HEART ASSOCIA	Adapted from Cannon CP, Brindis RG, Chaitman BR, et al. 2013 ACCF/AHA key data elements and definitions for measuring the clinical management and outcomes of patients with acute coronary syndromes and coronary artery disease. A report of the American College of Cardiology Foundation/American Heart Association Task Force on Clinical Data Standards (Writing Committee to Develop Acute Coronary Syndromes and Coronary Artery Disease Clinical Data Standards). J Am Coll Cardiol. 2013;61:992-1025.(18)

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
	An ischemic event meeting the criteria for acute MI is not a UA hospitalization.				
	Planned hospitalization or rehospitalization for performance of an elective revascularization procedure (e.g., positive stress test, staged revascularization) is not a UA hospitalization.				American Heart
	Hospitalization with revascularization of CAD identified during elective cardiac catheterization does not qualify as a UA hospitalization.			1 .	Association
UA Hospitalization— Date–Time	Date and time of the presentation of the patient to the hospital for management of UA. Date and time of presentation is defined as the date and time of registration in the ED (or hospital, if the patient is not	Unstable Angina Hospitalizati on Date_Time	• Date-time	latic	<u>m</u>
UA Hospitalization—	seen in the ED). Documentation of ischemic discomfort (angina, or	Unstable Angina	• Yes • No	CAN HEART ASSOCIAT	Adapted from Cannon CP, Brindis RG, Chaitman BR, et
Symptoms of Ischemia	symptoms thought to be equivalent) ≥10 min in duration occurring either a) At rest, or b) In an accelerating pattern with frequent episodes associated with progressively decreasing exercise capacity.	Ischemic Discomfort Indicator			al. 2013 ACCF/AHA key data elements and definitions for measuring the clinical management and outcomes of patients with acute coronary syndromes and coronary artery disease. A report of the American College of Cardiology Foundation/American Heart Association Task Force on

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
					Clinical Data Standards (Writing Committee to Develop Acute Coronary Syndromes and Coronary Artery Disease Clinical Data Standards). J Am Coll Cardiol. 2013;61:992- 1025.(18)
UA Hospitalization— Evidence of Ischemia	Documentation of objective evidence of new or worsening coronary ischemia.	Unstable Angina Ischemic Evidence Type	 Changes on resting ECG Inducible myocardial ischemia Coronary lesion on angiography Coronary revascularizatio n 	-1	American Heart Association.
	<u> </u>	.C	Changes on resting ECG	New or worsening ST or T-wave changes on resting ECG (in the absence of confounders, such as LBBB or LVH), defined as either a) Transient ST elevation (duration <20 min): new ST elevation at the J point \geq 0.1 mV in any 2 contiguous leads (other than leads V_2 to V_3); in leads V_2 to V_3 , the following cut points apply: \geq 0.2 mV in men \geq 40 y of age, \geq 0.25 mV in men <40 y of age, and \geq 0.15 mV in women.	<u>m</u>
	Journal	OF TH	E AMERI	b) ST depression and T-wave changes: new horizontal or downsloping ST depression ≥0.05 mV in 2 contiguous leads and/or new T inversion ≥0.3 mV in 2 contiguous leads with prominent R wave or R/S ratio >1.	ION
			Inducible myocardial ischemia	Definite evidence of inducible myocardial ischemia believed to be responsible for the myocardial ischemic symptoms/signs, demonstrated by any of the following: a) An early positive exercise stress test result, defined as ST elevation or ≥2 mm ST depression before 5 METs b) Stress echocardiography (reversible wall motion abnormality) c) Myocardial scintigraphy (reversible perfusion	

Terminology Concept	Concept Definition	Suggested Data Element Label	Permissible Values	Permissible Value Definitions	Citations and References
				defect) d) MRI (myocardial perfusion deficit under pharmacological stress)	
			Coronary lesion on angiography	Angiographic evidence of new or worsening lesion ≥70% (≥50% for left main coronary artery lesion) and/or thrombus in an epicardial coronary artery believed to be responsible for the myocardial ischemic symptoms/signs.	
			Coronary revascularization	Need for coronary revascularization procedure (PCI or CABG) for the presumed culprit lesion(s). This criterion would be fulfilled if revascularization was undertaken during the unscheduled hospitalization or subsequent to transfer to another institution without interceding home discharge.	American Heart Association
UA Hospitalization— MI Excluded	Exclusion of the diagnosis of MI as the reason for hospitalization, including negative cardiac biomarkers and no other evidence of acute MI.	Myocardial Infarction Exclusion Indicator	• Yes • No	1 .	

ACCF indicates American College of Cardiology Foundation; AHA, American Heart Association; CABG, coronary artery bypass graft; CAD, coronary artery disease; ECG, electrocardiogram; ED, emergency department; LBBB, left bundle-branch block; LVH, left ventricular hypertrophy; METs, metabolic equivalents; MI, myocardial infarction, MRI, magnetic resonance imaging; PCI, percutaneous coronary intervention; and UA, unstable angina.

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Appendix 6. Transient Ischemic Attack and Stroke

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
Stroke	An acute episode of focal or global neurological dysfunction caused by brain, spinal cord, or retinal vascular injury as a result of hemorrhage or infarction.	Stroke Indicator	• Yes • No		Adapted from Sacco RL, Kasner SE, Broderick JP, et al. An updated definition of stroke for the 21st century: a statement for healthcare professionals from the American Heart Association/American Stroke Association. Stroke. 2013;44:2064-89.(24)
Stroke—Date— Time	Date and time of the onset of a stroke.	Stroke Date_Time	Date-time	-1 0	
Stroke—Type	Categorical description of stroke type, classified into 1 of 3 mutually exclusive categories (ischemic, hemorrhagic, undetermined).	Stroke Type	Ischemic Hemorrhagic Undetermined	latic	Adapted from Sacco RL, Kasner SE, Broderick JP, et al. An updated definition of stroke for the 21st century: a statement for healthcare professionals from the American Heart Association/American Stroke Association. Stroke. 2013;44:2064-89.(24)
	JOURNAL	OF TH	Ischemic	An acute episode of focal cerebral, spinal, or retinal dysfunction caused by infarction of central nervous system tissue. Note: Hemorrhage may be a consequence of ischemic	ION
				stroke. In this situation, the stroke is an ischemic stroke with hemorrhagic transformation and not a hemorrhagic stroke.	
			Hemorrhagic	Hemorrhagic: An acute episode of focal or global cerebral or spinal dysfunction caused by intraparenchymal, intraventricular, or subarachnoid hemorrhage.	
				Note: Subdural hematomas are intracranial hemorrhagic events and not strokes.	

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
			Undetermined	Undetermined: An acute episode of focal or global neurological dysfunction caused by presumed brain, spinal cord, or retinal vascular injury as a result of hemorrhage or infarction but with insufficient information to allow categorization as either ischemic or hemorrhagic.	
Modified Rankin Scale	Validated scale for assessment of disability following a stroke. Note: Measure disability with the modified Rankin Scale at each visit and 90 d after the event.	Modified Rankin Scale Value	• 0 • 1 • 2 • 3 • 4 • 5 • 6		Rankin J. Cerebral vascular accidents in patients over the age of 60. Scott Med J. 1957;2:200-15.(31) van Swieten J, Koudstaal P, Visser M, et al. Interobserver agreement for the assessment of handicap in stroke patients. Stroke. 1988;19:604-7. (56)
			0	No symptoms at all.	
	111/	0/1	1	No significant disability despite symptoms; able to carry out all usual duties and activities.	120
1	/		2	Slight disability; unable to perform all previous activities but able to look after own affairs without assistance.)
			3	Moderate disability; requiring some help but able to walk without assistance.	
	Journal	OF TH	4 E AMER	Moderately severe disability; unable to walk without assistance and unable to attend to own bodily needs without assistance.	ION
			5	Severe disability; bedridden, incontinent, and requiring constant nursing care and attention.	
			6	Dead.	
Modified Rankin Scale—Date— Time	Date and time of neurological assessment to determine modified Rankin scale grade.	Rankin Scale Date_Time	Date-time		

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
TIA		Transient Ischemic Attack Indicator	• Yes • No	1atic	Easton JD, Saver JL, Albers GW, et al. Definition and evaluation of transient ischemic attack: a scientific statement for healthcare professionals from the American Heart Association/American Stroke Association Stroke Council; Council on Cardiovascular Surgery and Anesthesia; Council on Cardiovascular Radiology and Intervention; Council on Cardiovascular Nursing; and the Interdisciplinary Council on Peripheral Vascular Disease. Stroke. 2009;40:2276-93. (9) Sacco RL, Kasner SE, Broderick JP, et al. An updated definition of stroke for the 21st century: a statement for healthcare professionals from the American Heart Association/American Stroke. 2013;44:2064-89.(24)
TIA—Date-Time	Date and time of the onset of a TIA.	Transient Ischemic Attack Date_Time	Date-time		

AHA indicates American Heart Association; ASA, American Stroke Association; and TIA, transient ischemic attack.

Appendix 7. Heart Failure Event

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
HF Event	Presentation of the patient for an urgent, unscheduled clinic/office/ED visit or hospital admission, with a primary diagnosis of HF, where the patient exhibits new or worsening symptoms of HF on presentation, has objective evidence of new or worsening HF, and receives initiation or intensification of treatment specifically for HF.	Heart Failure Endpoint Event	• Yes • No		http://www.cdisc.org/therapeutic (54)
	Objective evidence consists of at least 2 physical examination findings <i>OR</i> at least 1 physical examination finding and at least 1 laboratory criterion of new or worsening HF on presentation.	°C	11	latic	11
HF Event—Date— Time	Date and time of the presentation of a patient for management of a HF event.	Heart Failure Endpoint Event	Date-time	CAN HEART ASSOCIAT	LON
HF Event— Encounter Type	The type of healthcare encounter of a patient who presents for the management of new-onset or worsening HF.	Heart Failure Endpoint Event Healthcare Encounter Type	HF hospitalization Urgent HF visit	CAN TILATE ASSOCIAT	http://www.cdisc.org/ther apeutic (54)
			HF hospitalization	An event where the patient is admitted to the hospital with a primary diagnosis of HF where the length of stay is at least 24 h (or extends over a calendar date if the hospital admission and discharge times are unavailable), where the patient exhibits new or worsening symptoms of HF on presentation, has	

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
				objective evidence of new or worsening HF, and receives initiation or intensification of treatment specifically for HF.	
			Urgent HF visit	An event where the patient has an urgent, unscheduled office/practice/ED visit for a primary diagnosis of HF but is not admitted to the hospital, where the patient exhibits new or worsening symptoms of HF on presentation, has objective evidence of new or worsening HF, and receives initiation or intensification of treatment specifically for HF, with the exception that changes to oral diuretic therapy do not qualify as initiation or intensification of treatment.	American Heart Association
HF Event— Symptoms, New or Worsening	Documentation of new or worsening symptoms of HF on patient presentation. Criterion for new or worsening symptoms due to HF is to have at least 1 of the following on presentation: a) Dyspnea b) Decreased exercise tolerance c) Fatigue d) Worsened end-organ perfusion e) Volume overload	Heart Failure Symptoms, New or Worsening	Dyspnea Decreased exercise tolerance Fatigue Worsened endorgan perfusion Volume overload	1atic	Adapted from Radford MJ, Arnold JM, Bennett SJ, et al. ACC/AHA key data elements and definitions for measuring the clinical management and outcomes of patients with chronic heart failure: a report of the American College of Cardiology/American Heart Association Task Force on Clinical Data Standards (Writing Committee to Develop Heart Failure Clinical Data Standards): developed in collaboration with the American College of Chest Physicians and the International Society for Heart and Lung Transplantation. Circulation.
			Dyspnea	Includes dyspnea on exertion, dyspnea at rest,	2005;112:1888-916.(57)
			Decreased exercise tolerance	orthopnea, and paroxysmal nocturnal dyspnea. Decreased exercise tolerance: reduced ability to withstand or participate in activities that induce physical or mental exertion.	
			Fatigue	Unusual tiredness and inability to perform usual activities.	
			Worsened end-	Decreased blood supply to the vital organs (kidney,	

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
			organ perfusion	liver, lungs, heart, and brain). Note: Parameters must be specified and described by	
				the study protocol.	
			Volume overload	Excessive accumulation of intravascular fluid resulting from compromised regulatory mechanisms. Note: Parameters must be specified and described by	
				the study protocol.	
HF Event— Physical Examination, New or Worsening	Documentation of new or worsening physical examination findings of HF on patient presentation. Criterion for new or worsening objective findings due to HF includes at least 2 physical examination findings OR 1 physical examination finding and at least 1 laboratory criterion. Physical examination findings include new or worsened: a) Peripheral edema b) Increasing abdominal distention or ascites c) Pulmonary rales/crackles/crepitations d) Increased jugular venous pressure and/or hepatojugular reflux e) S ₃ gallop f) Clinically significant or rapid weight gain thought to be related to fluid retention	Heart Failure Physical Examination Findings, New or Worsening	Peripheral edema Increasing abdominal distention or ascites Pulmonary rales/crackles/cr epitations Increased jugular venous pressure and/or hepatojugular reflux S ₃ gallop Clinically significant or rapid weight gain	1atic	Adapted from Radford MJ, Arnold JM, Bennett SJ, et al. ACC/AHA key data elements and definitions for measuring the clinical management and outcomes of patients with chronic heart failure: a report of the American College of Cardiology/American Heart Association Task Force on Clinical Data Standards (Writing Committee to Develop Heart Failure Clinical Data Standards): developed in collaboration with the American College of Chest Physicians and the International Society for Heart and Lung Transplantation. Circulation. 2005;112:1888-916.(57)
			Peripheral edema	Increased tissue fluid indicated by perceptible pitting indentation on lower leg, foot, or sacrum after palpation.	
			Increasing abdominal distention or ascites	Intra-abdominal fluid accumulation as determined by physical examination (in the absence of primary hepatic disease).	
			Pulmonary	Pulmonary rales/crackles/crepitations: Abnormal	

Terminology Concept	Concept Definition	Suggested Data Element	Permissible Values	Permissible Value Definitions	Citations and References
		Name	rales/crackles/cre	breath sounds caused by the accumulation of fluid in	
			pitations	the lungs.	
			Increased jugular	Increase in the estimated height of the mean jugular	
			venous pressure	venous waveform above the right atrium in	
			and/or	centimeters.	
			hepatojugular reflux	Note: When expressed as centimeters without further	
			Tenux	description, the number should be recorded as written.	
				When it is expressed as centimeters above the sternal	
				angle, 5 cm should be added to the number recorded.	ed
				In the absence of a numerical estimate of jugular venous pressure, "JVD," "distended neck veins," and	American Licourt
				"halfway to the jaw" or "to the angle of the jaw"	Association.
				would be recorded as positive for elevated jugular	- Participan
				venous pressure.	
			S ₃ gallop	Presence of an S ₃ mid-diastolic heart sound.	
UNT			Clinically significant or	Weight gain thought to be related to fluid retention.	
	- 0		rapid weight gain	1	
HF Event—	Documentation of new or	Heart	Increase in HF		Adapted from: Radford MJ,
Laboratory Data,	worsening laboratory	Failure	biomarker	014	Arnold JM, Bennett SJ, et al.
New or	evidence of HF obtained	Laboratory	Radiological		ACC/AHA key data elements and definitions for
Worsening	within 24 h of patient presentation.	Findings, New or	evidence of pulmonary		measuring the clinical
- 10	presentation.	Worsening	congestion		management and outcomes
	Criterion for new or		Noninvasive	TOPPA	of patients with chronic heart
	worsening objective findings		diagnostic		failure: a report of the
	due to HF includes at least 2 physical examination findings		evidence of HF		American College of Cardiology/American Heart
	OR 1 physical examination	OF TH	Invasive diagnostic	CAN HEART ASSOCIAT	Association Task Force on
	finding and at least 1		evidence of HF		Clinical Data Standards
	laboratory criterion.		evidence of TH		(Writing Committee to
	Laboratory criteria include				Develop Heart Failure
	new or worsened: a) Increased BNP/NT-proBNP				Clinical Data Standards): developed in collaboration
	b) Radiological evidence of				with the American College of
	pulmonary congestion				Chest Physicians and the
	c) Noninvasive diagnostic				International Society for
	evidence of HF d) Invasive diagnostic				Heart and Lung Transplantation. Circulation.
	evidence of HF				2005;112:1888-916. (57)
			Increase in HF	Biomarker increase BNP/NT-pro BNP with	, , , , , , , , , , , , , , , , , , , ,
			biomarker	decompensation of HF (such as BNP >500 pg/mL or	

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
				NT-proBNP >2,000 pg/mL). In patients with chronically elevated natriuretic peptides, a significant increase above baseline is required.	
			Radiological evidence of pulmonary congestion	Radiological evidence of pulmonary congestion: imaging findings consistent with increased intravascular blood volume in the lungs.	
			Noninvasive diagnostic evidence of HF	Noninvasive diagnostic evidence of HF: Noninvasive diagnostic evidence of clinically significant elevated left- or right-sided ventricular filling pressure or low cardiac output. For example, echocardiographic criteria could include E/e' >15 or D-dominant pulmonary venous inflow pattern, plethoric inferior vena cava with minimal collapse on inspiration, or decreased LVOT minute stroke distance (TVI).	American Heart Association
	7.		Invasive diagnostic evidence of HF	Invasive diagnostic evidence with right-sided catheterization of heart showing a PCWP (pulmonary artery occlusion pressure) ≥18 mm Hg, central venous pressure ≥12 mm Hg, or a cardiac index <2.2 L/min/m².	
HF Event— Treatment Intensification	Initiation or intensification of treatment specifically for HF. The criterion is that the patient receives initiation or intensification of treatment specifically for HF, including at least 1 of the following: a) Augmentation in oral diuretic therapy b) Intravenous diuretic or vasoactive agent (e.g., inotrope, vasopressor, or vasodilator) c) Mechanical or surgical intervention	Heart Failure Therapy, Intensificatio n	Augmentation of oral diuretic therapy Intravenous diuretic, inotrope, or vasodilator therapy Mechanical or surgical intervention	Latic	Adapted from Radford MJ, Arnold JM, Bennett SJ, et al. ACC/AHA key data elements and definitions for measuring the clinical management and outcomes of patients with chronic heart failure: a report of the American College of Cardiology/American Heart Association Task Force on Clinical Data Standards (Writing Committee to Develop Heart Failure Clinical Data Standards): developed in collaboration with the American College of Chest Physicians and the International Society for Heart and Lung Transplantation. Circulation.
			Augmentation of	Initiation or intensification of orally administered	2005;112:1888-916. (57)

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
		- 1,00000	oral diuretic	medication(s) that promote diuresis to treat HF.	
			Intravenous diuretic, inotrope, vasopressor, or vasodilator therapy Mechanical or surgical intervention	Initiation or intensification of medication(s) administered by vein to treat HF, increase production of urine, increase cardiac performance, and/or reduce cardiac preload or afterload. Mechanical circulatory support (e.g., intra-aortic balloon pump, ventricular assist device, extracorporeal membrane oxygenation, total artificial	
				heart) or mechanical fluid removal (e.g., ultrafiltration, hemofiltration, dialysis).	American Heart
Cardiogenic Shock	Sustained (>30 min) episode of systolic BP <90 mm Hg and/or cardiac index <2.2 L/min/m² determined to be secondary to cardiac dysfunction, and/or the requirement for parenteral inotropic or vasopressor agents or mechanical support (e.g., intra-aortic balloon pump, extracorporeal circulatory support, ventricular assist device) to maintain BP and cardiac index above those specified levels.	Cardiogenic Shock Indicator	• Yes • No	latic	Cannon CP, Brindis RG, Chaitman BR, et al. 2013 ACCF/AHA key data elements and definitions for measuring the clinical management and outcomes of patients with acute coronary syndromes and coronary artery disease. A report of the American College of Cardiology Foundation/American Heart Association Task Force on Clinical Data Standards (Writing Committee to Develop Acute Coronary
	Journal	OF TH	E AMER	CAN HEART ASSOCIAT	Syndromes and Coronary Artery Disease Clinical Data Standards). J Am Coll Cardiol. 2013;61:992-1025. (18)

ACCF indicates American College of Cardiology Foundation; AHA, American Heart Association; BNP, B-type natriuretic peptide; BP, blood pressure; cm, centimeter; ED, emergency department; HF, heart failure; JVD, jugular venous distention; L/min/m², liter per minute per square millimeter; LVOT, left ventricular outflow tract; mm Hg, millimeters of mercury; NT-pro BNP, N-terminal pro B-type natriuretic peptide; PCWP, pulmonary capillary wedge pressure; and TVI, time velocity integral.

Appendix 8. Heart Failure Event: Additional Details

- A Heart Failure Event includes hospitalization for HF and may include urgent, unscheduled outpatient office/practice or ED visits.
 - A Heart Failure Hospitalization is defined as an event in which the patient is admitted to the hospital with a primary diagnosis of HF, the length of stay is at least 24 h (or extends over a calendar date), the patient exhibits new or worsening symptoms of HF on presentation, has objective evidence of new or worsening HF, and receives initiation or intensification of treatment specifically for HF.
 - An Urgent Heart Failure Visit is defined as an event in which the patient has an urgent, unscheduled office/practice or ED visit for a primary diagnosis of HF but is not admitted to the hospital and exhibits new or worsening symptoms of HF on presentation, has objective evidence of new or worsening HF, and receives initiation or intensification of treatment specifically for HF. Note that changes to oral diuretic therapy do not qualify as initiation or intensification of treatment.
- **Heart Failure Symptoms:** The patient may present with new or worsening symptoms due to HF on presentation. To be defined as having new or worsening symptoms due to HF, the patient should have **at least** *ONE* of the following on presentation:
 - Dyspnea (dyspnea with exertion, dyspnea at rest, orthopnea, paroxysmal nocturnal dyspnea, nocturnal cough in supine position, tachypnea);
 - Decreased exercise tolerance (reduced ability to perform activities that involve dynamic movement of large skeletal muscles because of symptoms of dyspnea or fatigue);
 - Fatigue (usually described as feeling a lack of energy and motivation in both mental and physical activities, easily tiring and not being able to complete usual activities, and sometimes accompanied by dizziness, lightheadedness);
 - Worsened end-organ perfusion (worsening cerebral, renal, liver, abdominal or gastrointestinal, peripheral circulatory function manifested by symptoms such as dizziness, lightheadedness, syncope, confusion, altered mental status, restlessness, decline in cognitive state, nausea, vomiting, abdominal pain, abdominal fullness, abdominal discomfort or abdominal tenderness, cold clammy extremities, discoloration of extremities or lips, jaundice, pain in extremities, reduced urine output, darkening of urine color, chest pain, and/or palpitations); or
 - Other symptoms of volume overload (swelling of lower extremities; swelling or indentation of pressure marks in areas of fluid accumulation such as the legs, ankles, or lower back; an increase in abdominal girth, right-sided abdominal fullness, discomfort, or tenderness; an increase in body weight; oozing and development of skin breakdown in lower extremities).
- Heart Failure Signs: The patient may present with objective evidence of new or worsening HF. The objective evidence or signs

of new or worsening HF should consist of **at least 2** physical examination findings *OR* **1** physical examination finding and **at least 1** laboratory criterion. Physical examination findings considered to be due to HF include new or worsened:

- Peripheral edema (swelling or pitting indentation when pressed in feet, ankles, legs, thighs, upper extremities, scrotum,
 presacral area, or abdominal wall).
- Increasing abdominal distention or ascites (in the absence of primary hepatic disease)
- Pulmonary rales/crackles/crepitations
- Increased jugular venous pressure and/or hepatojugular reflux
- S₃ gallop
- Clinically significant or rapid weight gain thought to be related to fluid retention (usually >3-4 lb in 3-4 d).

Other physical findings such as the following may also support the diagnosis: a decline in systolic or diastolic blood pressure; orthostatic hypotension; cool, mottled or clammy skin; lip discoloration and cyanosis; tachypnea; irregular or periodic breathing pattern (e.g., Cheyne-Stokes respirations); tachycardia or bradycardia; arrhythmia; irregular pulse; pulsus alternans; displaced point of maximum impulse of cardiac apex; RV heave; loud S₂; diminished S₁; S₄; valvular murmurs; reduced urine output; liver enlargement; physical findings compatible with pleural effusion such as decreased breath sounds and or decreased egophony; narrow pulse pressure; abnormal arterial pulse pressure response during the strain phase of the Valsalva maneuver; weight loss; and/or wheezing (34, 37, 38) Because these physical findings are not as common, sensitive, specific, or easily reproducible for HF as those listed in the bullet points above, they are not included in the required physical findings criteria.

- Laboratory Evidence of HF: Laboratory evidence of new or worsening HF should be obtained within 24 h of presentation.

 Laboratory criteria include new or worsened:
 - Increased BNP or NT-proBNP concentrations. In patients with suspected new onset of HF, or in patients with dyspnea but uncertainty of HF, measurement of BNP or NT-proBNP is useful to support the clinical diagnosis of HF (35, 36, 40-42, 45)In acute decompensated HF, natriuretic peptide levels are usually significantly elevated (e.g., BNP >500 pg/mL or NT-proBNP >2,000 pg/mL). The exclusion threshold differs for patients presenting with acute onset or worsening of symptoms and for those presenting with more gradual onset of symptoms. For patients presenting with acute onset or worsening of symptoms, the optimal exclusion cut-off points are usually quoted as 300 pg/mL for NT-proBNP and 100 pg/mL for BNP levels. Lower values of natriuretic peptides usually make the diagnosis of HF less likely, and higher values have reasonably high positive predictive value in diagnosing HF, especially in dyspneic patients presenting to urgent care or ED settings. That being said, elevated plasma levels of natriuretic peptides can be seen with a variety of other cardiac and noncardiac causes. Other causes of elevated natriuretic peptide levels in the acute setting are acute coronary syndrome, atrial or ventricular arrhythmias, pulmonary embolism, cor pulmonale, renal failure, and sepsis. Other causes of an elevated

natriuretic level in the nonacute setting are old age (>75 y), atrial arrhythmias, LV hypertrophy, chronic obstructive pulmonary disease, and chronic kidney disease. Conversely, natriuretic peptide levels can be lower in obese patients. In patients with chronically elevated natriuretic peptides, levels usually correlate with HF severity and prognosis, and an increase above the baseline is usually noted during acute decompensated HF. Current evidence suggests there is no specific cut-off point for an absolute or relative rise above the baseline level to diagnose a HF event in patients with chronically elevated natriuretic peptide levels.

- Radiological evidence of pulmonary congestion (CXR or other imaging modality such as CT or MRI with evidence of pulmonary venous or alveolar congestion, interstitial or pulmonary edema, pleural effusion, or cephalization of venous flow.
 It is important to note that CXR may also reflect evidence of cardiomegaly.)
- Noninvasive diagnostic evidence of HF (echocardiography, cardiac MRI, cardiac PET scan, and nuclear imaging) can provide information about cardiac anatomy such as LV or RV function, volumes, geometry, wall motion, or valvular function, and other findings such as elevated filling pressures by Doppler echocardiography) supporting the diagnosis and etiology of HF. In systolic HF, LV ejection fraction (<50%) and LV fractional shortening are reduced (<25%). There may be regional LV wall motion abnormalities, especially in patients with ischemic HF. In patients with chronic systolic HF, LV end-diastolic size is usually increased (diameter ≥60 mm, >32 mm/m², LV volume >97 mL/m²), as is LV end-systolic size (diameter >45 mm/>25 mm/m², volume >43 mL/m²). Cardiac output is usually reduced, and LV outflow tract velocity time integral is reduced (<15 cm). LV diastolic dysfunction parameters may reflect abnormalities of the mitral inflow pattern, with tissue velocities (e) or the E/e' ratio indicating the presence and degree of LV diastolic dysfunction. In cases of diastolic dysfunction, e' is decreased (<8 cm/s septal, <10 cm/s lateral, or <9 cm/s average), suggesting delayed LV relaxation. High E/e' ratios (>15) usually indicate high LV filling pressures, whereas low E/e' ratios (<8) usually indicate normal LV filling pressures. A mitral inflow E/A ratio >2 suggests restrictive, high LV filling pressures. In contrast, a mitral inflow E/A ratio of <1 suggests delayed LV relaxation with normal LV filling pressures. An E/A ratio of 1–2 may be inconclusive or pseudonormal. Mitral inflow during the Valsalva maneuver may reflect a change from the pseudonormal to the impaired relaxation pattern (with a decrease in E/A ratio >0.5), suggesting high LV filling pressures (unmasked through Valsalva). An A-wave duration >30 ms usually suggests high LV filling pressures. In patients with elevated filling pressures, left atrial volume index is usually increased (volume >34 mL/m²) (39, 43, 44) In HF, LV mass index is usually increased to >95 g/m² in women and >115 g/m² in men. Valvular structure and function may reveal valvular stenosis or regurgitation, especially aortic stenosis and mitral regurgitation, and may be the cause, result, or a complicating factor of HF (secondary mitral regurgitation). RV function (e.g., TAPSE) may be reduced (TAPSE < 16 mm). Tricuspid regurgitation peak velocity may be increased (>3.4 m/s), suggesting increased RV systolic pressures. Systolic pulmonary artery pressure may be increased (>50 mm Hg) with pulmonary hypertension. The inferior vena cava may be dilated, with no respiratory collapse, suggesting increased right atrial pressures, RV dysfunction, volume overload, or pulmonary hypertension.

Invasive diagnostic evidence of HF (right-sided heart catheterization) may reveal elevated PCWP, reduced cardiac output or cardiac index, elevated right atrial pressure, or reduced mixed venous oxygen saturation. Left-sided heart catheterization may reveal elevated LVEDP. In patients with LV failure, PCWP or LVEDP is usually elevated over 18 mm Hg. In patients with decompensated HF, right atrial pressure or central venous pressure is usually >12 mm Hg, and cardiac index is usually <2.2 L/min/m²(33)</p>

All results from diagnostic tests should be reported if available, even if they do not meet the above criteria, because they provide important information for the adjudication of these events.

- **Initiation or Intensification of HF Treatment:** The criterion is that the patient receives initiation or intensification of treatment specifically for HF, including **at least 1** of the following:
 - 1) Augmentation in oral diuretic therapy (increase in oral diuretic dose or addition of another oral diuretic)
 - Intravenous diuretic or intravenous vasoactive therapy. Vasoactive therapy may include an intravenous inotrope, vasodilator, or vasopressor.
 - 3) Mechanical or surgical intervention, including:
 - Mechanical circulatory support (e.g., intra-aortic balloon pump, ventricular assist device, extracorporeal membrane oxygenation, total artificial heart)
 - Mechanical fluid removal (e.g., ultrafiltration, hemofiltration, dialysis)

BNP indicates B-type natriuretic peptide; CT, computed tomography; CXR, chest X-ray; ED, emergency department; HF, heart failure; LV, left ventricular; LVEDP, left ventricular end-diastolic pressure; MRI, magnetic resonance imaging; NT-proBNP, N-terminal pro-B-type natriuretic peptide; PCWP, pulmonary capillary wedge pressure; PET, positron emission tomography; RV, right ventricular; and TAPSE, Tricuspid Annular Plane Systolic Excursion.

Appendix 9. Percutaneous Coronary Intervention

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
PCI	PCI is the placement of an angioplasty guidewire, balloon, or other device (e.g. stent, atherectomy, brachytherapy, or thrombectomy catheter) into a native coronary artery or CABG for the purpose of mechanical coronary revascularization. The assessment of coronary lesion severity by intravascular ultrasonography, coronary flow reserve, or fractional flow reserve is not considered a PCI procedure.	Percutaneou s Coronary Intervention Indicator	• Yes • No	1	NCDRCathPCI Registry® v4.4 Coder's Data Dictionary (58), seq. #7020. Available at: https://www.ncdr.com/WebN CDR/docs/public-data-collection-documents/cathpci_v4_coder sdictionary_4-4.pdf?sfvrsn=2. Accessed November 30, 2013.
PCI—Status	Classification of the urgency of a PCI procedure at the time the operator decides to perform the PCI.	Percutaneou s Coronary Intervention Status	Elective Urgent Emergency Salvage	GAN HEART ASSOCIAT	NCDR CathPCI Registry® v4.4 Coder's Data Dictionary (58), seq. #7020. Available at: https://www.ncdr.com/WebN CDR/docs/public-data-collection-documents/cathpci_v4_coder sdictionary_4-4.pdf?sfvrsn=2. Accessed November 30, 2013.
			Elective	A procedure that can be performed on an outpatient basis or during a subsequent hospitalization without significant risk of MI or death. For stable inpatients, a PCI procedure that is performed during the hospitalization for convenience and ease of scheduling and not because the patient's clinical situation demands that the procedure be performed before discharge.	
			Urgent	A procedure that should be performed on an inpatient basis and before discharge because of significant concerns about the risk of myocardial ischemia, MI, and/or death. Patients who are outpatients or in the	

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
				ED at the time that the cardiac catheterization is requested would warrant hospital admission based on clinical presentation.	
			Emergency	A procedure that should be performed as soon as possible because of substantial concerns that ongoing myocardial ischemia and/or MI could lead to death. "As soon as possible" refers to a patient whose condition is sufficiently acute that the provider would 1) cancel a scheduled case to perform the procedure immediately in the next available room during business hours or 2) would activate the on-call team if this were to occur during off-hours.	American Heart
	7:11		Salvage	A procedure that is a last resort. The patient is in cardiogenic shock when the PCI begins (i.e., the time at which the first guidewire or intracoronary device is introduced into a coronary artery or bypass graft for the purpose of mechanical revascularization) or within the last 10 min before the start of the case; or during the diagnostic portion of the case, the patient received chest compressions or was on unanticipated circulatory support (e.g., intra-aortic balloon pump, extracorporeal membrane oxygenation, or cardiopulmonary support).	Association.
PCI—Procedure Success	Achievement of <30 % residual diameter stenosis of all treated lesions as assessed by visual inspection or QCA, without an in-hospital major adverse cardiac event (death, MI, or repeat coronary revascularization of the target lesion). Note: For some device interventions (e.g., balloon angioplasty), achievement of <50% diameter stenosis by visual inspection or QCA is an acceptable definition for procedure success.	Percutaneou s Coronary Intervention Procedure Success Indicator		GAN HEART ASSOCIAT	Levine GN, Bates ER, Blankenship JC, et al. 2011 ACCF/AHA/SCAI guideline for percutaneous coronary intervention. A report of the American College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines and the Society for Cardiovascular Angiography and Interventions. J Am Coll Cardiol. 2011;58:e44-e122. (59)
PCI—Target Lesion Segment	Name of the coronary artery segment where PCI was performed that is the subject	Percutaneou s Coronary Intervention	Coronary artery segment (see Appendix 7)		Revised from Alderman EL, Stadius M. Angiographic definitions of the Bypass

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
	of clinical investigation. In the context of clinical investigation, any lesion treated or for which treatment was attempted with a device or technique being studied. The length of the target lesion is inclusive of the arterial section treated with the study device (e.g., a stent) and the 5 mm proximal and 5 mm distal to the treated section.	Target Lesion Segment Name			Angioplasty Revascularization Investigation. Coron Artery Dis. 1992;3:1189-207. (47)
PCI—Target Lesion Procedure Success	Achievement of <30 % residual diameter stenosis of the target lesion as assessed by visual inspection or QCA, without an in-hospital major adverse cardiac event (death, MI, or repeat coronary revascularization of the target lesion). For some device interventions (e.g., balloon angioplasty), achievement of <50% diameter stenosis by visual inspection or QCA is an acceptable definition for technical (angiographic) success.	Percutaneou s Coronary Intervention Target Lesion Procedure Success Indicator	• Yes • No	latic	Adapted from Levine GN, Bates ER, Blankenship JC, et al. 2011 ACCF/AHA/SCAI guideline for percutaneous coronary intervention. A report of the American College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines and the Society for Cardiovascular Angiography and Interventions. J Am Coll Cardiol. 2011;58:e44-e122. (59)
	In the context of clinical investigation, ideally the assessment of the residual stenosis at the end of the procedure should be performed by an angiographic core laboratory.	OF TH	E AMERI	CAN HEART ASSOCIAT	ION

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
PCI—Abrupt Closure	New intraprocedural severely reduced flow (TIMI grade 0-1) within the target vessel that persists and requires intervention by stenting or other treatment or results in MI or death. Abrupt closure requires an association with a vascular dissection, thrombus, or severe spasm at the treatment site or within the instrumented vessel.	Coronary Artery Abrupt Closure	• Yes • No		Adapted from Butman SM, ed. Complications of Percutaneous Coronary Interventions. (60)
PCI—Dissection	New appearance of contrast and/or radiolucencies during PCI inconsistent with the expected luminal dimensions of a lesion and/or vessel.	Coronary Artery Dissection Grade	 Grade A Grade B Grade C Grade D Grade E Grade F 	-1 0	Adapted from Coronary artery angiographic changes after PTCA. Manual of Operations NHLBI PTCA Registry; 1985-6:9. (61)
	44	0.0	Grade A	Minor radiolucencies within the lumen during contrast injection with no persistence after dye clearance.	24
		(Grade B	Parallel tracts or double lumen separated by a radiolucent area during contrast injection with no persistence after dye clearance.	
			Grade C	Extraluminal cap with persistence of contrast after dye clearance from the lumen.	
			Grade D	Spiral luminal filling defect with delayed but complete distal flow.	
	JOURNAL	OF TH	Grade E	New persistent filling defect with delayed antegrade flow. May represent thrombus.	ION
			Grade F	Non-A-E types with total coronary occlusion and no distal antegrade flow. May represent thrombus.	
PCI—No Reflow	New acute reduction in coronary flow (TIMI grade 0-1) in the absence of dissection, thrombus, spasm, or high-grade residual stenosis at the original PCI lesion site.	Coronary Artery No Reflow Indicator	• Yes • No		Adapted from Butman SM, ed. Complications of Percutaneous Coronary Interventions. (60)
PCI—Coronary Thrombosis	New acute development of compromise of a PCI vessel by coronary artery thrombus (blood clot) occurring at any	Coronary Artery Thrombosis, Intra-	• Yes • No		Adapted from Butman SM, ed. Complications of Percutaneous Coronary Interventions. (60)

Terminology Concept	Concept Definition	Suggested Data	Permissible Values	Permissible Value Definitions	Citations and References
1		Element Name			
	time during a procedure and independent of evidence of stenosis or dissection.	Procedural Indicator			
	Criteria for an intraprocedural thrombosis event include ≥1 of the following: a) Development of new or increasing coronary thrombus b) Abrupt vessel closure c) No reflow (from TIMI flow 3/2 to 1/0) or slow				American Heart
PCI—	reflow (from TIMI 3 to 2) d) Distal embolization Occlusion of the lumen of a	Stent	• Yes		Adapted from Butman SM,
Intraprocedural Stent Thrombosis	newly implanted stent by thrombus (blood clot) during the index stent implantation procedure.	Thrombosis Intra- Procedural Indicator	• No	-1 . 0	ed. Complications of Percutaneous Coronary Interventions. (60)
PCI—Stent Thrombosis	Compromise of the lumen of a coronary stent by thrombus (blood clot) and not as a result of restenosis or atherosclerosis after completion of the stent implantation procedure.	Stent Thrombosis, Coronary, Indicator	• Yes • No	latic	Cutlip DE, Windecker S, Mehran R, et al. Clinical endpoints in coronary stent trials: a case for standardized definitions. Circulation. 2007;115:2344-51.(7)
	Note: Angiographic nonocclusive intracoronary thrombus is defined as a	OF TH	E AMER	CAN HEART ASSOCIAT	ION
	(spheric, ovoid, or irregular) noncalcified filling defect or lucency surrounded by contrast material (on 3 sides or within a coronary stenosis)				
	seen in multiple projections, or persistence of contrast material within the lumen, or a visible embolization of intraluminal material				
	downstream. Angiographic occlusive				

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
	thrombus is defined as the presence of TIMI 0 or TIMI 1 flow intrastent or proximal to a stent up to the most adjacent proximal side branch or main branch (if it originates from the side branch). Pathological confirmation of stent thrombosis is evidence of recent thrombus within the stent determined at autopsy or by examination of tissue retrieved following				American Heart Association.
PCI—Stent Thrombosis	thrombectomy. Date and time of the onset of stent thrombosis.	Stent Thrombosis,	Date-time		
Date-Time	~ 0	Coronary, Date_Time		1 . •	
PCI—Stent Thrombosis ARC Grade	Probability that coronary artery stent thrombosis has occurred, according to ARC grading criteria. Note: The incidental angiographic documentation of stent occlusion in the absence of clinical signs or	Stent Thrombosis, Coronary, ARC grade	DefiniteProbablePossible	latic	Cutlip DE, Windecker S, Mehran R, et al. Clinical endpoints in coronary stent trials: a case for standardized definitions. Circulation. 2007;115:2344-51. (7)
	symptoms is not considered a confirmed stent thrombosis (silent occlusion).	OF TH	E AMER	CAN HEART ASSOCIAT	ION
			Definite	Definite stent thrombosis is considered to have occurred when there is either angiographic or pathological confirmation. Angiographic confirmation is the presence of a thrombus at coronary angiography that originates in the stent or in the segment 5 mm proximal or distal to the stent, with the presence of at least 1 of 3 clinical criteria within a 48-h time window: a) Acute onset of ischemic symptoms at rest b) New ischemic changes on ECG that suggest acute ischemia c) Typical rise and fall in cardiac biomarkers.	

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
				Pathological confirmation is evidence of recent thrombus within the stent determined at autopsy or by examination of tissue retrieved following thrombectomy.	
			Probable	Probable stent thrombosis is considered to have occurred after intracoronary stenting in the following situations: a) Any unexplained death within the first 30 d (for studies of patients with an ST-segment elevation MI, exclusion of unexplained death within 30d as evidence of probable stent thrombosis) b) irrespective of the time after the index procedure, any MI that is related to documented acute ischemia in the territory of the implanted stent without angiographic confirmation of stent thrombosis and in the absence of any other obvious cause.	American Heart Association.
	~ 0		Possible	Possible: Possible stent thrombosis is considered to have occurred with any unexplained death >30 d after intracoronary stenting.	
PCI—Stent Thrombosis ARC Timing	Timing of the detection of stent thrombosis according to the ARC grading criteria, with time 0 defined as the time when the patient departs the catheterization laboratory after a stent implantation procedure.	Stent Thrombosis, Coronary, ARC timing	Acute Subacute Late Very late	latic	Cutlip DE, Windecker S, Mehran R, et al. Clinical endpoints in coronary stent trials: a case for standardized definitions. Circulation. 2007;115:2344-51. (7)
	Note: The combination of acute or subacute stent thrombosis can be replaced by the term early stent thrombosis (0-30 d).	OF TH	e Amer	CAN HEART ASSOCIAT	ION
			Acute	0-24 h after stent implantation	
			Subacute	>24 h to 30 d after stent implantation	
			Late	>30 d to 1 y after stent implantation	
PCI—Stent Restenosis	Renarrowing of a stent implanted at a lesion site to treat a prior stenosis, to a diameter stenosis of >50% within the stent, inclusive of the original treated site plus	Percutaneou s Coronary Intervention Restenosis, In-Stent, Angiographi	• Yes • No	>1 y after stent implantation	Adapted from Levine GN, Bates ER, Blankenship JC, et al. 2011 ACCF/AHA/SCAI guideline for percutaneous coronary intervention. A report of the American

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
	the adjacent vascular segments 5 mm proximal and 5 mm distal to the stent.	c Binary Indicator			College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines and the Society for Cardiovascular Angiography and Interventions. J Am Coll Cardiol. 2011;58:e44-e122. (59)
PCI—Lesion Restenosis	Renarrowing of a lesion site following treatment of a prior stenosis, to a diameter stenosis of >50% at the previously treated lesion site, inclusive of the original treated site plus the adjacent vascular segments 5 mm proximal and 5 mm distal to the treated segment.	Percutaneou s Coronary Intervention, Restenosis, Lesion Angiographi c Binary Indicator	• Yes • No	latic	Adapted from Levine GN, Bates ER, Blankenship JC, et al. 2011 ACCF/AHA/SCAI guideline for percutaneous coronary intervention. A report of the American College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines and the Society for Cardiovascular Angiography and Interventions. J Am Coll Cardiol. 2011;58:e44-e122. (59)
PCI—TLR	Repeat percutaneous intervention of a target lesion, or surgical bypass of a target vessel, performed for restenosis or other complication involving the target lesion. The length of the target lesion is inclusive of the treated section and the 5 mm proximal and distal to the treated section. In the assessment of TLR, angiograms should be assessed by an angiographic core laboratory (if designated)	Percutaneou s Coronary Intervention, Target Vessel Revasculariz ation Indicator	• Yes • No	GAN HEART ASSOCIAT	Cutlip DE, Windecker S, Mehran R, et al. Clinical endpoints in coronary stent trials: a case for standardized definitions. Circulation. 2007;115:2344-51. (7)
PCI—Ischemia	and made available to the CEC for review on request. Criteria for clinical or	Percutaneou	• Yes		Cutlip DE, Windecker S,

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
Before TLR	functional ischemia include any of the following: a) History of angina pectoris, presumably related to the target vessel b) Objective signs of ischemia at rest (electrocardiographic changes) or during exercise test (or equivalent), presumably related to the target vessel c) Abnormal results of any invasive functional diagnostic test (e.g., CFR or FFR)	s Coronary Intervention Target Lesion Revasculariz ation Ischemia Indicator	• No		Mehran R, et al. Clinical endpoints in coronary stent trials: a case for standardized definitions. Circulation. 2007;115:2344-51. (7)
PCI—Clinically Driven TLR	PCI-TLR is clinically driven if the target lesion diameter stenosis is >50% by QCA and the subject has clinical or functional ischemia that cannot be explained by another native coronary or bypass graft lesion. TLR of a >70% diameter stenosis by QCA in the absence of the above signs or symptoms may be considered clinically driven. In the absence of QCA data or if a stenosis ≤50% is	Percutaneou s Coronary Intervention Target Lesion Revasculariz ation Clinically Driven Indicator	• Yes • No	latic	Cutlip DE, Windecker S, Mehran R, et al. Clinical endpoints in coronary stent trials: a case for standardized definitions. Circulation. 2007;115:2344-51. (7)
	present, TLR may be considered clinically driven if severe ischemic signs and symptoms attributed to the target lesion are present.	OF TH	E AMERI	CAN HEART ASSOCIAT	ION
PCI—TLF	The composite of ischemia-driven target lesion revascularization, MI related to the target vessel, or cardiac death related to the target vessel. If it cannot be determined with certainty whether an MI or death was related to the target vessel, it is considered a TLF.	Percutaneou s Coronary Intervention Target Lesion Failure Indicator	• Yes • No		Cutlip DE, Windecker S, Mehran R, et al. Clinical endpoints in coronary stent trials: a case for standardized definitions. Circulation. 2007;115:2344-51. (7)

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
PCI—Target Vessel	In the context of clinical investigation, the major native coronary artery (e.g., left main coronary artery, LAD coronary artery, left circumflex coronary artery, or right coronary artery) or bypass graft containing the target lesion. A native coronary artery target vessel includes the arterial segments upstream and downstream from the target lesion plus major side branches.	Percutaneou s Coronary Intervention Target Vessel Name	Left main artery LAD artery Left circumflex artery Ramus intermedius artery Right coronary artery		Adapted from Alderman EL, Stadius M. Angiographic definitions of the Bypass Angioplasty Revascularization Investigation. Coronary Artery Disease. 1992;3:1189- 207. (47)
	major side branches.		Left main artery	Left main coronary artery.	SNOMED-CT: 3227004
			LAD artery	LAD coronary artery, including septal and diagonal branches.	SNOMED-CT: 59438005
	7)	Left circumflex artery	Left circumflex coronary artery, including marginal branches; if mixed dominance, also including left posterolateral branches; if left dominant, also including posterolateral and posterior descending branches.	SNOMED-CT: 57396003
- 1	/		Ramus intermedius artery	Ramus intermedius coronary branch.	SNOMED-CT: 244252004
			Right coronary artery	Right coronary artery and its branches; if mixed dominance, also including posterior descending branch; if right dominant, also including right posterolateral and posterior descending branches.	SNOMED-CT: 13647002
PCI—TVR	Repeat PCI or surgical bypass of any segment of a coronary artery containing a target lesion. A target vessel is defined as the entire major coronary vessel proximal and distal to a target lesion, including upstream and downstream branches and the target lesion itself. In the assessment of TVR, angiograms should be assessed by an angiographic	Percutaneou s Coronary Intervention Target Vessel Revasculariz ation Indicator	• Yes • No		Cutlip DE, Windecker S, Mehran R, et al. Clinical endpoints in coronary stent trials: a case for standardized definitions. Circulation. 2007;115:2344-51. (7)

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
	core laboratory (if designated) and made available to the CEC for review on request.				
PCI—TVF	The composite of ischemia-driven TVR, MI related to the target vessel, or cardiac death related to the target vessel. If it cannot be determined with certainty whether the MI or death was related to the target vessel, it is considered a TVF.	Percutaneou s Coronary Intervention Target Vessel Failure Indicator	• Yes • No		Cutlip DE, Windecker S, Mehran R, et al. Clinical endpoints in coronary stent trials: a case for standardized definitions. Circulation. 2007;115:2344-51. (7)
PCI—Nontarget Lesion Segment	Name of a coronary artery segment where PCI was performed that is NOT the subject of clinical investigation. In the context of clinical investigation, any lesion not treated or for which no attempt at treatment was made with the device or technique being studied. This includes lesions treated with (nonstudy) PCI and lesions managed medically.	Percutaneou s Coronary Intervention Non-Target Lesion Segment Name	Coronary artery segment (see Appendix 10)	latic	Revised from Alderman EL, Stadius M. Angiographic definitions of the Bypass Angioplasty Revascularization Investigation. Coronary Artery Disease. 1992;3:1189- 207. (7)
PCI—Nontarget Lesion Revascularization	Any (de novo or repeat) PCI of a nontarget lesion or surgical bypass of a nontarget vessel. This includes revascularization at the time of an index (study) PCI of a separate target lesion and subsequent revascularization after the index (study) PCI.	Percutaneou s Coronary Intervention Non-Target Lesion Revasculariz ation Indicator	• Yes • No	CAN HEART ASSOCIAT	Cutlip DE, Windecker S, Mehran R, et al. Clinical endpoints in coronary stent trials: a case for standardized definitions. Circulation. 2007;115:2344-51. (7)
PCI—Nontarget Vessel	In the context of clinical investigation, any major native coronary artery (e.g., left main coronary artery, LAD coronary artery, left circumflex coronary artery, or right coronary artery) or bypass graft not treated or for which no attempt at treatment	Percutaneou s Coronary Intervention Non-Target Vessel Name	 Left main artery LAD artery Left circumflex artery Ramus intermedius artery Right coronary artery 		Adapted from Alderman EL, Stadius M. Angiographic definitions of the Bypass Angioplasty Revascularization Investigation. Coronary Artery Disease. 1992;3:1189- 207. (47)

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
	was made with a device or technique being studied. This includes vessels treated with (nonstudy) PCI and vessels managed medically.				
			Left main artery	Left main coronary artery.	SNOMED-CT: 3227004
			LAD artery	LAD coronary artery, including septal and diagonal branches.	SNOMED-CT: 59438005
			Left circumflex artery	Left circumflex coronary artery, including marginal branches; if mixed dominance, also including left posterolateral branches; if left dominant, also including posterolateral and posterior descending branches.	SNOMED-CT: 57396003
			Ramus intermedius artery	Ramus intermedius coronary branch.	SNOMED-CT: 244252004
	7.	2000	Right coronary artery	Right coronary artery and its branches; if mixed dominance, also including posterior descending branch; if right dominant, also including right posterolateral and posterior descending branches.	SNOMED-CT: 13647002
PCI—Nontarget Vessel Revascularization	Any (de novo or repeat) PCI or surgical bypass of any segment of a nontarget vessel. This includes revascularization at the time of an index (study) PCI of a separate target lesion and subsequent revascularization after the index (study) PCI.	Percutaneou s Coronary Intervention Non-Target Vessel Revasculariz ation Indicator	• Yes • No	lati(Cutlip DE, Windecker S, Mehran R, et al. Clinical endpoints in coronary stent trials: a case for standardized definitions. Circulation. 2007;115:2344-51. (7)
Coronary Angiography— TIMI Flow Grade	Grading scale to describe coronary epicardial blood flow as visualized during angiography according to the classification described by the TIMI Group.	tIMIFlowGr ade	• Grade 0 • Grade 1 • Grade 2 • Grade 3		Cannon CP, Brindis RG, Chaitman BR, et al. 2013 ACCF/AHA key data elements and definitions for measuring the clinical management and outcomes of patients with acute coronary syndromes and coronary artery disease. A report of the American College of Cardiology Foundation/American Heart Association Task Force on Clinical Data Standards

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
					(Writing Committee to Develop Acute Coronary Syndromes and Coronary Artery Disease Clinical Data Standards). J Am Coll Cardiol. 2013;61:992-1025. (18)
			Grade 0	No perfusion. There is no antegrade flow beyond the point of occlusion.	
			Grade 1	Penetration without perfusion. Contrast material passes beyond the area of obstruction but "hangs up" and fails to opacify the entire coronary bed distal to the obstruction for the duration of the cineangiographic filming sequence.	American Heart Association
	11	·C	Grade 2	Partial perfusion. The contrast material passes across the obstruction and opacifies the coronary bed distal to the obstruction. However, the rate of entry of contrast material into the vessel distal to the obstruction or its rate of clearance from the distal bed (or both) is perceptibly slower than its entry into or clearance from comparable areas not perfused by the previously occluded vessel (e.g., the opposite coronary artery or the coronary bed proximal to the obstruction).	111
	Journal		Grade 3	Complete perfusion. Antegrade flow into the bed distal to the obstruction occurs as promptly as into the bed proximal to the obstruction, and clearance of contrast material from the involved bed is as rapid as from an uninvolved bed in the same vessel or the opposite artery.	ІОИ
Coronary Angiography— Coronary Artery Thrombus	A discrete, mobile, intraluminal filling defect with defined borders with or without associated contrast staining.	Coronary Artery Thrombus Indicator	• Yes • No		Adapted from Capone G, Wolf NM, Meyer B, Meister SG. Frequency of intracoronary filling defects by angiography in angina pectoris at rest. Am J Cardiol. 1985;56:403-6. (62)
Coronary Angiography— TIMI Thrombus Grade	Grading scale to describe coronary thrombus as visualized during angiography per the classification described by the TIMI Group.	TIMI Coronary Thrombus Grade	 Grade 1 Grade 2 Grade 3 Grade 4 Grade 5		Cannon CP, Braunwald E, McCabe CH, et al. The Thrombolysis in Myocardial Infarction trials: the first decade. J Interv Cardiol. 1995; 8:117-35. (63)

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
			Grade 1	Possible thrombus present: angiography demonstrates characteristics such as reduced contrast density, haziness, irregular lesion contour, or a smooth convex "meniscus" at the site of total occlusion suggestive, but not diagnostic, of thrombus.	
			Grade 2	Small definite thrombus, with the greatest dimension less than or equal to one half of the vessel diameter.	
			Grade 3	Moderate definite thrombus, with the greatest linear dimension greater than one half but <2 vessel diameters.	
			Grade 4	Large definite thrombus, with the greatest dimension ≥2 vessel diameters.	Heart
			Grade 5	Total vessel occlusion.	Association-
Cardiovascular Catheterization— Access Site Hematoma	Development of a new, localized collection of blood at a vascular access site sufficient to produce a palpable mass within 72 h of a procedure.	Access Site Hematoma Indicator	• Yes • No	1 .	Adapted from Butman SM, ed. Complications of Percutaneous Coronary Interventions. (60)
Cardiovascular Catheterization— Arteriovenous Fistula	Development of a new, unintended communication between an artery and a vein occurring at a vascular access site within 72 h of a procedure.	Arterioveno us Fistula Indicator	• Yes • No	12110	Adapted from Butman SM, ed. Complications of Percutaneous Coronary Interventions. (60)
Cardiovascular Catheterization— Peripheral Ischemia	Development of new arterial insufficiency sufficient to produce clinical signs or symptoms of ischemia (pallor, pain, paresthesia) distal to a vascular access site within 72 h of a procedure.	Peripheral Ischemia Indicator	• Yes • No	CAN HEART ASSOCIAT	Adapted from Butman SM, ed. Complications of Percutaneous Coronary Interventions. (60)
Cardiovascular Catheterization— Peripheral Nerve Injury	Development of new sensory or motor loss of peripheral nerve function from external nerve compression (e.g., as a result of positioning during a procedure), or internal compression or direct nerve damage from the procedure, occurring within 72 h of a procedure.	Peripheral Nerve Injury Indicator	• Yes • No		Adapted from Butman SM, ed. Complications of Percutaneous Coronary Interventions. (60)
Cardiovascular	Development of a new	Pseudoaneur	• Yes		Adapted from Butman SM,

Terminology Concept	Concept Definition	Suggested Data Element	Permissible Values	Permissible Value Definitions	Citations and References
		Name			
Catheterization— Pseudoaneurysm	localized collection of blood with a persistent communication (neck) originating at a vascular access site and occurring within 72 h of a procedure.	ysm Indicator	• No		ed. Complications of Percutaneous Coronary Interventions. (60)
Cardiovascular Catheterization— Retroperitoneal Hemorrhage	Development of new bleeding into the retroperitoneal space originating at a vascular access site and occurring within 72 h of a procedure.	Retroperiton eal Hemorrhage Indicator	• Yes • No		Adapted from Butman SM, ed. Complications of Percutaneous Coronary Interventions. (60)
CABG	CABG surgery is a procedure performed to bypass partially or completely occluded coronary arteries with veins and/or arteries harvested from elsewhere in the body, thereby improving the blood supply to the coronary circulation supplying the myocardium (heart muscle).	Coronary Artery Bypass Graft Surgery Indicator	• Yes • No	1 4:	Heart Association
CABG—Status	Classification of the urgency of a CABG surgical procedure, based on the patient's clinical status before entering the operating room	Coronary Artery Bypass Graft Surgery Status	Elective Urgent Emergency Salvage	Latti	
			Elective	Patient cardiac status has been stable in the days or	
	JOURNAL	OF TH	E AMERI	weeks before the operation. The procedure can be deferred without increased risk of compromised cardiac outcome.	ION
			Urgent	Procedure required during the same hospitalization to minimize chances of clinical deterioration or adverse outcome. Clinical conditions include (but are not limited to) acute or worsening chest pain, acute or worsening HF, acute MI, critical coronary stenosis, IABP support, UA with intravenous nitroglycerin, and rest angina.	
			Emergency	Procedure required because of ongoing, refractory (difficult, complicated, and/or unmanageable), unrelenting cardiac compromise, with or without hemodynamic instability, and not responsive to any form of therapy except cardiac surgery. An emergency operation is one in which there should be	

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
				no delay in providing operative intervention. The clinical status of the patient can include any of the following: a) Ischemic dysfunction (any of the following): 1) ongoing ischemia, including rest angina despite maximal medical therapy (medical and/or IABP); 2) acute evolving MI within 24 h before surgery; or 3) pulmonary edema requiring intubation. b) Mechanical dysfunction (either of the following): 1) shock with circulatory support or 2) shock without circulatory support.	American Heart
			Salvage	The patient is undergoing CPR or is being managed with extracorporeal membrane oxygenation en route to the operating room or before induction of anesthesia. The clinical acuity of the patient is a dying state.	Association.
Cardiothoracic Surgery—Inopera ble/Extreme Risk	Heart Team assessment that a patient is inappropriate for cardiothoracic surgery, based on predicted operative mortality, comorbidities, frailty/debilitation, previous procedures, technical inoperability, and/or other extenuating circumstances.	Cardiothorac ic Surgery Inoperable- Extreme Risk Indicator	• Yes • No	latic	Adapted from STS/ACC TVT Registry v2.0 Coder's Data Dictionary. (64)
CABG—Type	Type of CABG conduit.	Coronary Artery Graft Type	 Saphenous vein graft Arterial graft, in situ Arterial graft, free 	CAN HEART ASSOCIAT	ION
			Saphenous vein graft Arterial graft, in	CABG composed of saphenous vein. CABG composed of arterial conduit, where the origin	
			Arterial graft, free	of the graft remains intact. CABG composed of an artery that has been completely freed from its original location.	
PCI—Bypass Graft Lesion Location	Location of a lesion within a CABG where PCI was performed.	Coronary Artery Graft Lesion Location	Graft origin Graft body Graft anastomosis	, ,	http://www.cdisc.org/ther apeutic (54)

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
			Graft origin	The section of a graft from the connection (anastomosis) of the graft with the aorta (or from the origin if in situ), inclusive of the first 3 mm of the graft.	
			Graft body	The section of a graft between the origin and the anastomosis.	
			Graft anastomosis	The section of a graft from the connection (anastomosis) of the graft with the coronary artery, inclusive of the retrograde 3 mm of the graft.	
CABG— Anastomosis	The coronary artery segment to which a CABG is connected.	Coronary Artery Graft Anastomosis	• Coronary artery segment (see Appendix 10)		American Heart

ACCF indicates American College of Cardiology; AHA, American Heart Association; ARC, Academic Research Consortium; CABG, coronary artery bypass graft; CEC, Clinical Events Committee; CFR, coronary flow reserve; CPR, cardiopulmonary resuscitation; ECG, electrocardiogram; ED, emergency department; FFR, fractional flow reserve; IABP, intra-aortic balloon bump; LAD, left anterior descending; MI, myocardial infarction; mm, millimeter; NCDR, National Cardiovascular Data Registry; NHLBI, National Heart, Lung, and Blood Institute; PCI, percutaneous coronary intervention; PTCA, percutaneous transluminal coronary angioplasty; QCA, quantitative coronary angiography, SCAI, Society for Cardiac Angiography and Interventions; SNOMED-CT, Systemized Nomenclature of Medicine—Clinical Terms; TIMI, Thrombolysis in Myocardial Infarction; TLF, target lesion failure; TLR, target lesion revascularization; TVF, target vessel failure; TVR, target lesion revascularization; and UA, unstable angina.

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Appendix 10. Cardiovascular Anatomy

This table describes coronary artery dominance, coronary artery segments, and lower abdominal, pelvic, and lower extremity artery segment concepts. The bulleted lists are the permissible values for the terminology concept; the following rows provide more detail about the individual permissible values. The table includes a draft simple numbering code for the coronary and peripheral artery segments, as well as references to existing coding systems describing the specific segments where available. The numbering code schema uses the letter "a" to designate the ostium, "b" to designate the body, "c" to designate the bifurcation terminus, and "d" to identify a lateral branch of a branch.

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
Coronary Artery Dominance	Pattern of the coronary arteries that supply blood to the inferior wall of the left ventricle, classified into 1 of 3 mutually exclusive categories (right, left, co-dominant).	Coronary Artery Dominance	• Left • Right • Co-dominant	tart Associat	Revised from Austen WG, Edwards JE, Frye RL, et al. A reporting system on patients evaluated for coronary artery disease. Report of the Ad Hoc Committee for Grading of Coronary Artery Disease, Council on Cardiovascular Surgery, American Heart Association. Circulation. 1975;51:5-40. (46) Alderman EL, Stadius M. Angiographic definitions of the Bypass Angioplasty Revascularization Investigation. Coronary Artery Disease. 1992;3:1189-207. (47) Medina A, Suarez de Lezo J, Pan M. [A new classification of coronary bifurcation lesions]. Rev Esp Cardiol. 2006;59:183. (49)
			Left	The PDA and PLA arise from the left circumflex artery.	

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
			Right	The PDA and PLA arise from the right coronary artery.	
			Co-dominant	The right coronary artery supplies the PDA, and the circumflex artery supplies the PLA. Thus, both the right coronary and left circumflex arteries contribute to the blood supply of the inferior wall of the left ventricle.	
Coronary Artery Segments	JOURNAL	[value set]	Right coronary artery ostium Proximal right coronary artery Mid right coronary artery Distal right coronary artery Right PDA Posterolateral segmental artery First right posterolateral branch Second right posterolateral branch Third right posterolateral branch Posterior descending septal perforator Right ventricular branch Left main coronary artery ostium Left main coronary artery body Left main coronary artery bifurcation LAD artery ostium Proximal LAD Mid LAD Distal LAD First diagonal branch First diagonal lateral branch Second diagonal lateral branch Second diagonal lateral branch Third diagonal septal perforator Left circumflex artery ostium Proximal left circumflex artery Mid left circumflex artery	tic	Revised from Austen WG, Edwards JE, Frye RL, et al. A reporting system on patients evaluated for coronary artery disease. Report of the Ad Hoc Committee for Grading of Coronary Artery Disease, Council on Cardiovascular Surgery, American Heart Association. Circulation. 1975;51:5-40. (46) Alderman EL, Stadius M. Angiographic definitions of the Bypass Angioplasty Revascularization Investigation. Coronary Artery Disease. 1992;3:1189-207. (47) Medina A, Suarez de Lezo J, Pan M. [A new classification of coronary bifurcation lesions]. Rev Esp Cardiol. 2006;59:183. (49)

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
			 First obtuse marginal branch First obtuse marginal lateral branch Second obtuse marginal branch Second obtuse marginal lateral branch Third obtuse marginal branch Third obtuse marginal lateral branch Left atrioventricular artery Left PDA First left posterolateral branch Second left posterolateral branch Third left posterolateral branch Third left posterolateral branch Ramus intermedius branch Ramus intermedius lateral branch 		American Heart Association.
	7.		Right coronary artery ostium	Origin of the right coronary artery, including the first 3 mm of the artery	Draft code: 1a SNOMED-CT: 56789007 Draft code: 1a
	11	°C	Proximal right coronary artery	Proximal portion of the right coronary artery, from the ostium of the right coronary artery to the origin of the first right ventricular branch	Draft code: 1 BARI: 1 NCI: C102337 SNOMED-CT: 91083009
	JOURNAL	OF TH	Mid right coronary artery	Middle portion of the right coronary artery, from the origin of the first right ventricular branch to the acute margin	Draft code: 2 BARI: 2 NCI: C102329 SNOMED-CT: 13647002+255562008
			Distal right coronary artery	Distal portion of the right coronary artery, from the acute margin to the origin of the posterior descending artery	Draft code: 3 BARI: 3 NCI: C102296 SNOMED-CT: 41879009
			Right PDA	In right dominant and mixed circulations, the vessel that runs in the posterior interventricular groove and supplies septal perforator branches	Draft code: 4 BARI: 4 NCI: C102342 SNOMED-CT: 53655008
			Posterolateral segmental artery	In right-dominant circulations, the distal continuation of the right coronary artery in the posterior atrioventricular groove after the	Draft code: 5 BARI: 5 NCI: C102341 SNOMED-CT: 12800002

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
				origin of the right PDA	
			First right posterolateral branch	In right-dominant circulations, the first posterolateral branch originating from the right posterior atrioventricular artery	Draft code: 6 BARI: 6 SNOMED-CT: 91761002
			Second right posterolateral branch	In right-dominant circulations, the second posterolateral branch originating from the right posterior atrioventricular artery	Draft code: 7 BARI: 7 SNOMED-CT: 91762009
			Third right posterolateral branch	In right-dominant circulations, the third posterolateral branch originating from the right posterior atrioventricular artery	Draft code: 8 BARI: 8 SNOMED-CT: 91763004
			Posterior descending septal perforator	Septal perforator vessel originating from the PDA	Draft code: 9 BARI: 9
	~		Right ventricular branch	Branch arising from the right coronary artery to supply the right ventricular wall	Draft code: 10 BARI: 10 SNOMED-CT: 22765000
			Left main coronary artery ostium	Origin of the left coronary artery, including the first 3 mm of the artery	Draft code: 11a SNOMED-CT: 76862008
	_ , [[[Left main coronary artery body	Body of the left main coronary artery, from the ostium to the bifurcation	Draft code: 11b BARI: 11 SNOMED-CT: 3227004
		_	Left main coronary artery bifurcation	Distal end of the left main coronary artery, including the terminal 3 mm through the bifurcation of the left main into the LAD and left	Draft code: 11c
	Journal	OF TH	LAD artery ostium	origin of the LAD coronary artery, including the first 3 mm of the artery	Draft code: 12a
			Proximal LAD artery	Proximal portion of the LAD coronary artery, from the ostium to the origin of the first septal	Draft code: 12 BARI: 12 SNOMED-CT: 68787002
			Mid LAD artery	Middle portion of the LAD coronary artery, from the origin of the first septal artery to the origin of the third septal artery	Draft code: 13 BARI: 13 SNOMED-CT: 91748002
			Distal LAD artery	Distal portion of the LAD coronary artery, from the origin of the third septal artery to the terminus	Draft code: 14 BARI: 14 SNOMED-CT: 36672000
			First diagonal branch	First of the 3 longest branches	Draft code: 15

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
				originating from the LAD artery to supply the anterolateral wall of the left ventricle	BARI: 15 SNOMED-CT: 91750005
			First diagonal lateral branch	Branch of the first diagonal branch	Draft code: 15d BARI: 15a
			Second diagonal branch	Second of the 3 longest branches originating from the LAD artery to supply the anterolateral wall of the left ventricle	Draft code: 16 BARI: 16 SNOMED-CT: 91751009
			Second diagonal lateral branch	Branch of the second diagonal branch	Draft code: 16d BARI: 16a
			Third diagonal branch	Third of the 3 longest branches originating from the LAD artery to supply the anterolateral wall of the left ventricle	Draft code: 17 BARI: 29 SNOMED-CT: 91752002
			Third diagonal lateral branch	Branch of the third diagonal branch	Draft code: 17d BARI: 29a
	7.		Anterior descending septal perforator	Septal perforator vessel originating from the LAD artery to supply the interventricular septum	Draft code: 18 BARI: 17 SNOMED-CT: 244251006
	11	0	Left circumflex artery ostium	Origin of the left circumflex coronary artery, including the first 3 mm of the artery	Draft code: 19a
		-	Proximal left circumflex artery	Proximal portion of the left circumflex coronary artery, from the ostium to the origin (or the nominal location of) the first marginal branch	Draft code: 19 BARI: 18 SNOMED-CT: 52433000
	JOURNAL	OF TH	E AMERIGAN H	Middle portion of the left circumflex coronary artery, from	Draft code: 20 BARI: 19
			Mid left circumflex artery	the origins of (or nominal locations of) the first marginal to the second marginal	SNOMED-CT: 91753007
			Distal left circumflex artery	Distal portion of the left circumflex coronary artery, from the origin of (or the nominal location of) the second marginal to the terminus (in right-dominant systems), or to the origin of the first left posterolateral in all other dominant systems	Draft code: 21 BARI: 19a SNOMED-CT: 6511003
			First obtuse marginal branch	First of the 3 longest branches originating from the left circumflex artery to supply the lateral wall of	Draft code: 22 BARI: 20 NCI: C102299

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
				the left ventricle	SNOMED-CT: 91754001
			First obtuse marginal lateral branch	Branch of the first marginal branch	Draft code: 22d BARI: 20a
			Second obtuse marginal branch	Second of the 3 longest branches originating from the left circumflex artery to supply the lateral wall of the left ventricle	Draft code: 23 BARI: 21 SNOMED-CT: 91755000
			Second obtuse marginal lateral branch	Branch of the second marginal branch	Draft code: 23d BARI: 21a
			Third obtuse marginal branch	Third of the 3 longest branches originating from the left circumflex artery to supply the lateral wall of the left ventricle	Draft code: 24 BARI: 22 SNOMED-CT: 91756004
			Third obtuse marginal lateral branch	Branch of the third marginal branch	Draft code: 24d BARI: 22a
	~		Left atrioventricular artery	In left-dominant and mixed circulations, the distal continuation of the left circumflex coronary artery in the posterior atrioventricular groove	Draft code: 25 BARI: 23 NCI: C102287 SNOMED-CT: 75902001
		C	Left posterior descending artery	In left-dominant circulations, the vessel that arises from the distal continuation of the left atrioventricular artery, travels in the posterior interventricular groove and supplies septal perforator branches	Draft code: 26 BARI: 27 SNOMED-CT: 56322004
	JOURNAL	OF TH	First left posterolateral branch	In left-dominant and mixed circulations, the first posterolateral branch originating from the posterior atrioventricular left circumflex artery	Draft code: 27 BARI: 24 SNOMED-CT: 91757008
			Second left posterolateral branch	In left-dominant and mixed circulations, the second posterolateral branch originating from the posterior atrioventricular left circumflex artery	Draft code: 28 BARI: 25 SNOMED-CT: 91758003
			Third left posterolateral branch	In left-dominant and mixed circulations, the third posterolateral branch originating from the posterior atrioventricular left circumflex artery	Draft code: 29 BARI: 26 SNOMED-CT: 91759006
			Ramus intermedius branch	Branch vessel whose origin bisects	Draft code: 30

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
				the origins of the LAD and	BARI: 28
				circumflex arteries	SNOMED-CT: 244252004
			Ramus intermedius lateral branch	Branch of the ramus intermedius branch	Draft code: 30d BARI: 28a
Peripheral Artery Segments	11 JOURNAL	[value set]	 Infrarenal aorta Left renal artery Right renal artery Left common iliac artery Right common iliac artery Left external iliac artery Left external iliac artery Right external iliac artery Left internal iliac artery Right internal iliac artery Right common femoral artery Left superficial femoral artery Left superficial femoral artery Right superficial femoral artery Left profunda femoris artery Right profunda femoris artery Left popliteal artery—above knee Right popliteal artery—below knee Right popliteal artery—below knee Right popliteal artery—below knee Left anterior tibial artery Left tibio-peroneal trunk Right tibio-peroneal trunk Right peroneal artery Right posterior tibial artery Right posterior tibial artery Right posterior tibial artery Right posterior tibial artery Left accessory renal artery Left accessory renal artery 	111	Diehm N, Pattynama PM, Jaff MR, et al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc Endovasc Surg. 2008;36:409-19. (65)
			Right accessory renal artery		D 6 1 400
			Infrarenal aorta		Draft code: 400
			Left renal artery		Draft code: 501
			Right renal artery		Draft code: 401
			Left common iliac artery		Draft code: 502
			Right common iliac artery		Draft code: 402
			Left external iliac artery		Draft code: 503

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
			Right external iliac artery		Draft code: 403
			Left internal iliac artery		Draft code: 504
			Right internal iliac artery		Draft code: 404
			Left common femoral artery		Draft code: 505
			Right common femoral artery		Draft code: 405
			Left superficial femoral artery		Draft code: 506
			Right superficial femoral artery		Draft code: 406
			Left profunda femoris artery		Draft code: 507
			Right profunda femoris artery		Draft code: 407
			Left popliteal artery—above knee		Draft code: 508
			Right popliteal artery—above knee		Draft code: 408
			Left popliteal artery—below knee		Draft code: 509
			Right popliteal artery—below knee		Draft code: 409
			Left anterior tibial artery		Draft code: 510
			Right anterior tibial artery		Draft code: 410
			Left tibio-peroneal trunk		Draft code: 511
977			Right tibio-peroneal trunk		Draft code: 411
	-		Left peroneal artery		Draft code: 512
			Right peroneal artery	4	Draft code: 412
	1		Left posterior tibial artery		Draft code: 513
		- 1	Right posterior tibial artery		Draft code: 413
			Left accessory renal artery	4 1 1 1	Draft code: 514
			Right accessory renal artery		Draft code: 414

BARI indicates Bypass Angioplasty Revascularization Investigators; LAD, left anterior descending; NCI, National Cancer Institute; PDA, posterior descending artery; PLA, posterolateral artery; and SNOMED-CT, Systemized Nomenclature of Medicine—Clinical Terms.

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Appendix 11. Peripheral Vascular Intervention

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
PVI	A PVI is a catheter-based or open surgical procedure designed to improve arterial or venous blood flow or otherwise modify or revise vascular conduits. Procedures may include, but are not limited to, percutaneous	Peripheral Vascular Intervention Indicator	• Yes		http://www.cdisc.org/therapeutic (54)
	transluminal balloon angioplasty, stent placement, thrombectomy, embolectomy, atherectomy, dissection repair, aneurysm exclusion, treatment of dialysis conduits, placement of various devices, intravascular thrombolysis or other pharmacotherapies, and open surgical bypass or revision. In general, the intention to perform	°C		latic	11
	percutaneous vascular intervention is denoted by the insertion of a guidewire into an artery or vein. For the sake of simplicity, this definition applies to the extracranial	OF TH	E AMER	CAN HEART ASSOCIAT	ION
DVI Chatas	carotid artery and other noncardiac arteries and excludes the intracranial vessels, veins, and lymphatics.	Desirbond	FI. d		
PVI—Status	Classification of the urgency of a PVI procedure at the time the operator decides to perform the PVI. Nonelective procedures	Peripheral Vascular Intervention Status	 Elective Urgent Emergency		http://www.cdisc.org/ther apeutic, (54) modeled on the similar coronary PCI concept.
	include urgent and emergency procedures.				

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
			Elective	A procedure that is scheduled and performed on a patient with stable disease or in whom there is no urgency and/or increased morbidity or mortality associated with a planned procedure.	
			Urgent	A procedure that is not an emergency but is required to be performed on a timely basis (\leq 24 h) (e.g., a patient who has been stabilized following initial treatment of acute limb ischemia and there is clinical consensus that a definitive procedure should occur within the next 24 h).	
			Emergency	A procedure that is required to be performed immediately because of the acute nature of the medical condition (e.g., acute limb ischemia, acute aortic dissection) and the increased morbidity or mortality associated with a temporal delay in treatment.	Heart Association.
PVI—Target Lesion Segment	Name of the peripheral artery segment where PVI was performed that is the subject of clinical investigation. In the context of clinical investigation, any lesion treated or for which treatment was attempted with a device or technique being studied. The length of the target lesion is inclusive of the section of vessel treated with the study device (e.g., a stent) and the	Peripheral Vascular Intervention Target Lesion Segment Name	Peripheral artery segment (see Appendix 10)	latic	101
	10 mm proximal and 10 mm distal to the treated section.	OF TH		CAN HEART ASSOCIAT	
PVI—Procedure Success	Achievement of a satisfactory final residual diameter stenosis by angiography at the end of the procedure (and without flow-limiting dissection or hemodynamically significant translesional pressure gradient). The specific parameter for final percent residual stenosis is typically between <30% and <50%;	Peripheral Vascular Intervention Procedure Success Indicator	• Yes • No		Adapted from Diehm N, Pattynama PM, Jaff MR, et al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc Endovasc Surg. 2008;36:409-19. (65)

Terminology	Concept Definition	Suggested	Permissible	Permissible Value Definitions	Citations and References
Concept		Data Element Name	Values		
	selection of the appropriate percentage may vary depending on the specific intervention applied, the vascular territory, and anticipated or desired therapeutic response. Procedural success also implies absence of in-hospital major adverse events (e.g., death, stroke, MI, acute onset of limb ischemia, need for urgent/emergency vascular surgery, and other procedure-specific major adverse events).				American Heart Association.
	In the context of clinical investigation, ideally the assessment of the residual stenosis at the end of the procedure should be performed by an angiographic core laboratory. The balloon inflation, stent placement, or other therapeutic intervention may be preceded by use of	°C	u	latic	<u>)11</u>
	adjunctive devices (e.g., percutaneous mechanical thrombectomy, directional or rotational atherectomy, laser, and chronic total occlusion crossing device), as predefined in the protocol.	OF TH	E AMERI	CAN HEART ASSOCIAT	ION
PVI—Stent Restenosis	Renarrowing of a stent implanted at a lesion site to treat a prior stenosis, to a diameter stenosis of >50% within the stent, inclusive of the original treated site plus the adjacent vascular	Peripheral Vascular Intervention Restenosis, In-Stent— Angiographi c Binary	• Yes • No		Adapted from Diehm N, Pattynama PM, Jaff MR, et al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
	segments 10 mm proximal and 10 mm distal to the stent.	Indicator			Endovasc Surg. 2008;36:409-19. (65)
PVI—Lesion	Renarrowing of a lesion site	Peripheral	• Yes		Adapted from Diehm N,
Restenosis	following treatment of a prior	Vascular			Pattynama PM, Jaff MR, et
Restellosis	stenosis, to a diameter	Intervention	• No		al. Clinical endpoints in
	stenosis, to a diameter stenosis of >50% at the	Restenosis,			peripheral endovascular
	previously treated lesion site,	Lesion—			revascularization trials: a
					case for standardized
	inclusive of the original	Angiographi			definitions. Eur J Vasc
	treated site plus the adjacent	c Binary			
	vascular segments 10 mm	Indicator			Endovasc Surg.
	proximal and 10 mm distal to				2008;36:409-19. (65)
DI II TI D	the treated segment.	D : 1 1			11 16 Dil N
PVI—TLR	Repeat PVI of a target lesion	Peripheral	• Yes		Adapted from Diehm N,
	(including 10 mm proximal	Vascular	• No		Pattynama PM, Jaff MR, et
	and 10 mm distal to the index	Intervention			al. Clinical endpoints in
	device) or surgical	Target			peripheral endovascular
	intervention/bypass of a target	Lesion			revascularization trials: a
	vessel, performed for	Revasculariz		-4 0	case for standardized
	restenosis or other	ation			definitions. Eur J Vasc
	complication involving the	Indicator			Endovasc Surg.
	target lesion.	0/7			2008;36:409-19. (65)
	In the assessment of TLR,	11 '			
	angiograms should be				
	assessed by an angiographic	700	/		//
	core laboratory (if	* Tillians			
	designated). Angiograms (and				
	core laboratory assessment				
	thereof) and other source				
	documentation should be	OF TH	E AMER	CAN HEART ASSOCIAT	ION
	made available to the CEC for				
	review on request				

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
PVI—Ischemia Before TLR	Criteria for clinical or functional ischemia include any of the following: a) Recurrent/progressive intermittent claudication b) Critical limb ischemia c) Recurrence of the clinical syndrome for which the initial	Percutaneou s Coronary Intervention Target Lesion Revasculariz ation Ischemia	• Yes • No		http://www.cdisc.org/therapeutic, (54) modeled on the similar coronary PCI concept.
PVI—Clinically Driven TLR	procedure was performed. TLR is clinically driven if the target lesion diameter stenosis is >50% AND the subject has either clinical or functional ischemia (e.g., recurrent/progressive intermittent claudication, critical limb ischemia) OR recurrence of the clinical syndrome for which the initial procedure was performed. Clinically driven TLR occurs in the absence of protocoldirected surveillance ultrasonography or angiography.	Indicator Percutaneou s Coronary Intervention Target Lesion Revasculariz ation Clinically Driven Indicator	• Yes • No	latic	Adapted from Diehm N, Pattynama PM, Jaff MR, et al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc Endovasc Surg. 2008;36:409-19. (65)
PVI—TLF	The composite of ischemia-driven TLR AND either evidence of clinical or functional ischemia (e.g., recurrent/progressive intermittent claudication, critical limb ischemia) OR recurrence of the clinical syndrome for which the initial procedure was performed. If it cannot be determined with certainty whether clinical/functional ischemia or the clinical syndrome was related to the target vessel, it is considered a TLF.	Peripheral Vascular Intervention Target Lesion Failure Indicator	• Yes • No	CAN HEART ASSOCIAT	Adapted from Diehm N, Pattynama PM, Jaff MR, et al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc Endovasc Surg. 2008;36:409-19. (65)
PVI—Target Vessel	Name of the peripheral artery level containing a PVI target	Peripheral Vascular	Aorto-iliac Femoro-		Adapted from Diehm N, Pattynama PM, Jaff MR, et

Terminology	Concept Definition	Suggested	Permissible	Permissible Value Definitions	Citations and References
Concept		Data Element Name	Values		
	lesion that is the subject of clinical investigation. In the context of clinical investigation, a target vessel is any noncardiac or nonintracranial blood vessel that contains the target lesion treated with the study device. The target vessel includes the target lesion as well as the entire length of native vessel upstream and downstream from the target lesion, including side branches. For the arteries of the leg, the vasculature is divided into 3 vessel "levels": aorto-iliac, femoral-popliteal, and tibial-	Intervention Target Vessel Name	popliteal • Tibial-crural		al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc Endovasc Surg. 2008;36:409-19. (65)
	crural.	00	Aorto-iliac	Infrarenal aorta and iliac arteries (to the bottom of the pelvic rim/inguinal ligament), including branches of these vessels.	110
			Femoro-popliteal	Femoral and popliteal arteries (to the origin of the anterior tibial artery), including branches of these vessels.	
			Tibial-crural	Anterior tibial and below, including the arteries of the foot.	
PVI—TVR	Repeat intervention or surgical bypass of any segment of a target vessel. In the assessment of TVR, angiograms should be assessed by an angiographic core laboratory (if designated). Angiograms (and core laboratory assessment thereof) and other source documentation should be made available to the CEC for review on request.	Peripheral Vascular Intervention Target Vessel Revasculariz ation Indicator	• Yes • No	GAN HEART ASSOCIAT	http://www.cdisc.org/therapeutic, (54) modeled on the similar coronary PCI concept.
PVI—Vessel	The absence of clinically	Vessel	• Yes		Adapted from Diehm N,

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
Patency	driven TLR and/or absence of recurrent target lesion diameter stenosis >50% by imaging (e.g., invasive angiography or, most commonly, duplex ultrasonography). If patency data are incorporated within the primary endpoint of a clinical trial, the angiographic images or duplex ultrasonographic images should be assessed by appropriate core laboratories and made available to the CEC for review on request.	Patency Indicator	• No		Pattynama PM, Jaff MR, et al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc Endovasc Surg. 2008;36:409-19. (65)
PVI—Target Limb	The target limb is the extremity that contains the target lesion and all the native vessels upstream and downstream from it, including side branches.	Peripheral Vascular Intervention Target Limb Name	• Right leg • Left leg	latic	Adapted from Diehm N, Pattynama PM, Jaff MR, et al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc Endovasc Surg. 2008;36:409-19. (65)
PVI—Target Limb Failure	TLF is the composite of ischemia-driven revascularization of the target limb and major adverse ischemic events affecting the target limb. If it cannot be determined with certainty whether an event was related to the target limb, it is considered a TLF.	Peripheral Vascular Intervention Target Limb Failure Indicator	• Yes • No	CAN HEART ASSOCIAT	Adapted from Diehm N, Pattynama PM, Jaff MR, et al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc Endovasc Surg. 2008;36:409-19. (65)
PVI—Nontarget Lesion Segment	Name of a peripheral artery segment where PVI was performed that is <i>NOT</i> the subject of clinical investigation. In the context of clinical investigation, any lesion not treated or for which	Peripheral Vascular Intervention Non-Target Lesion Segment Name	Peripheral artery segment (see Appendix 10)		

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
	treatment was not attempted with the device or technique being studied. This includes lesions treated with (nonstudy) PVI and lesions managed medically.				
PVI—Nontarget Lesion Revascularization	Any (de novo or repeat) vascular intervention of a nontarget lesion or bypass surgery of a nontarget vessel. This includes revascularization at the time of an index (study) vascular intervention of a separate target lesion and subsequent revascularization after the index (study) vascular intervention.	Peripheral Vascular Intervention Non-Target Lesion Revasculariz ation Indicator	• Yes • No		Adapted from Diehm N, Pattynama PM, Jaff MR, et al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc Endovasc Surg. 2008;36:409-19. (65)
PVI—Nontarget Vessel	In the context of clinical investigation, any vessel or bypass graft not treated or for which treatment was not attempted with a device or technique being studied. This includes vessels treated with (nonstudy) vascular intervention and vessels managed medically. For the arteries of the leg, the vasculature is divided into 3 vessel "levels": aorto-iliac, femoral-popliteal, and tibial-	Peripheral Vascular Intervention Non-Target Vessel Name	Aorto-iliac Femoro-popliteal Tibial-crural	AN HEART ASSOCIAT	Adapted from Diehm N, Pattynama PM, Jaff MR, et al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc Endovasc Surg. 2008;36:409-19. (65)
	crural.		Aorto-iliac	Infrarenal aorta and iliac arteries (to the bottom of the pelvic rim/inguinal ligament), including branches of these vessels.	
			Femoro-popliteal	Femoral and popliteal arteries (to the origin of the anterior tibial artery), including branches of these vessels.	
			Tibial-crural	Anterior tibial and below, including the arteries of the foot.	
PVI—Nontarget Vessel	Any (de novo or repeat) vascular intervention or	Peripheral Vascular	• Yes • No		http://www.cdisc.org/therapeutic, (54) modeled on

Terminology Concept	Concept Definition	Suggested Data	Permissible Values	Permissible Value Definitions	Citations and References
•		Element Name			
Revascularization	surgical bypass of any segment of a nontarget vessel. This includes revascularization of a nontarget lesion at the time of an index (study) vascular intervention or subsequent to the index vascular intervention.	Intervention Non-Target Vessel Revasculariz ation Indicator			the similar coronary PCI concept.
Peripheral Artery Bypass Graft— Lesion Location	Location of a lesion within a peripheral artery bypass graft where PVI was performed.	Peripheral Artery Graft Lesion Location	 Graft anastomosis— proximal Graft body Graft anastomosis— distal 		http://www.cdisc.org/therapeutic (54)
	7	251111121212121	Graft anastomosis— proximal Graft body	The section of a graft from the connection (anastomosis) of the graft with the proximal artery, inclusive of the first 3 mm of the graft. The section of a graft between the proximal and distal	
- (111	7	Graft anastomosis— distal	anastomoses. The section of a graft from the connection (anastomosis) of the graft with the distal artery, inclusive of the retrograde 3 mm of the graft.	m
Peripheral Artery Bypass Graft— Type	Type of peripheral artery bypass graft conduit.	Peripheral Artery Graft Type	Autologous vein graftSynthetic graftComposite graft	ICICIC	
	JOURNAL	OF TH	Cadaveric graft—arterial Cadaveric graft—venous Other graft	CAN HEART ASSOCIAT	ION
			Autologous vein graft	Bypass graft composed of autologous vein (harvested from the patient).	
			Synthetic graft	Bypass graft composed of nonbiological conduit material.	
			Composite graft Cadaveric graft— arterial	Bypass graft composed of a composite of materials. Bypass graft composed of artery harvested from a cadaver.	
			Cadaveric graft— venous	Bypass graft composed of vein harvested from a cadaver.	

Terminology Concept	Concept Definition	Suggested Data Element Name	Permissible Values	Permissible Value Definitions	Citations and References
			Other graft	Bypass graft not composed of autologous vein, synthetic conduit, composite construction, or of cadaveric origin.	
Peripheral Artery Bypass Graft— Synthetic Material	Type of material used in a synthetic peripheral artery bypass graft conduit.	Peripheral Artery Graft, Synthetic Material	GortexPTFEPolyesterDacronPolyurethane		
Peripheral Artery Bypass Graft— Anastomosis	The peripheral artery segment to which a peripheral artery bypass graft is connected.	Peripheral Artery Graft Anastomosis	Peripheral artery segment (see Appendix 10)		Adapted from Diehm N, Pattynama PM, Jaff MR, et al. Clinical endpoints in peripheral endovascular revascularization trials: a case for standardized definitions. Eur J Vasc Endovasc Surg. 2008;36:409-19. (65)

CEC indicates Clinical Events Committee; PTFE, polytetrafluoroethylene; PVI, peripheral vascular intervention; TLF, target lesion failure; TLR, target lesion revascularization; and TVR, target vessel revascularization.

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Appendix 1. Comprehensive Author Relationships With Industry and Other Entities — 2014 ACC/AHA Key Data Elements and Definitions for Cardiovascular Endpoint Events in Clinical Trials

Name	Employment	Consultant	Speaker	Ownership/ Partnership/ Principal	Research	Institutional, Organizational or Other Financial Benefit	Expert Witness
Karen A. Hicks (<i>Chair</i>)	FDA	None	None	None	None	None	None
James E. Tcheng (Vice-Chair)	Duke University Medical Center	American Board of Internal Medicine	None	None	• NIH	Duke University Medical Center – Philips Medical Systems	None
Judith H. Lichtman (TFDS Liaison)	Yale University School of Medicine, Department of Epidemiology and Public Health	None	None	None	None	None	None
Marian C. Limacher (AHA Rep)	University of Florida, Division of Cardiovascular Medicine	None	None	None	• NIH	None	None
Biykem Bozkurt	Michael E. DeBakey VA Medical Center	None	None	None	None	None	None
Bernard R. Chaitman	St. Louis University School of Medicine, Core ECG Laboratory	GileadRelypsaTakeda	None	None	None	 Forest Johnson & Johnson Lilly Merck NovoNordisc Pfizer Roche Sanofi 	None
Donald E. Cutlip	Beth Israel	• STENTYS	None	None	• Boston	None	None

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Name	Employment	Consultant	Speaker	Ownership/ Partnership/ Principal	Research	Institutional, Organizational or Other Financial Benefit	Expert Witness
	Deaconess Medical Center, Interventional Cardiology				Scientific Celonova Medtronic		
Andrew Farb Gregg C. Fonarow	FDA Ahmanson- UCLA Cardiomyopat hy Center Division of Cardiology	None Amgen Bayer Boston Scientific J&J Medicines Company Medtronic Novartis Takeda	None None	None None	None Gambro Medtronic NHLBI NIH/NIAID Novartis	None None	None None
Jeffrey P. Jacobs	Cardiac Surgical Associates	None	None	None	COAST DSMB NIH Challenge Grant	None	None
Michael R. Jaff	Massachusetts General Hospital	Abbott Vascular Access Closure American Genomics Cardinal Health Cordis Covidien Medtronic Vascular Micell Vascular Therapies WebMD	None	Icon Interventional Janacare MC10 Northwind PQ Bypass Primacea Sadra Medical Sano V	• Ekos	IC Sciences VIVA Physicians Group	None
Kenneth W. Mahaffey	Stanford	Amgen	None	None	Amgen	Duke Clinical	None

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	University School of Medicine	 AstraZeneca Bayer Boehringer Ingelheim Bristol Myers Squibb Cubist Daiichi Sankyo Dialouges Duke Center for Educational Excellence Elsevier Glaxo Smith Kline Haemonetics Johns Hopkins University Purdue Pharma Sanofi-Aventis South East Area Health Education Center Springer Publishing Sun Pharma University of British Columbia WebMD 			 Amylin AstraZeneca Baxter BMS Boehringer Ingleheim Cubist Pharmaceuticals Edwards Lifesciences Eli Lilly Forest Glaxo Smith Kline Ikaria Johnson & Johnson Merck NHLBI NIAID NIH Novartis Pharmaceutical Portola Regado Roche Diagnostic Sanofi 	Research Institute	

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Name	Employment	Consultant	Speaker	Ownership/ Partnership/ Principal	Research	Institutional, Organizational or Other Financial Benefit	Expert Witness
Roxana Mehran	Mount Sinai Medical Center	 Abbott Vascular AstraZeneca Boston Scientific Covidien CSL Behring Janssen J+J Maya Medical Merck Sanofi 	None	None	 BMS/Sanofi Aventis Lilly/DSI Regado STENTYS The Medicines Company 	None	None
Steven E. Nissen	Cleveland Clinic Foundation, Department of Cardiovascular Medicine	None	None	None	 Amgen AstraZeneca Eli Lilly Novartis Novo Nordisk Orexigen Pfizer Resverlogix Takeda The Medicines Company Vivus 	None	None
Eric E. Smith	Calgary Stroke Program, Department of Clinical Neurosciences	None	None	None	 Alzheimer Society of Canada Canadian Institutes of Health Research Heart and Stroke Foundation of Canada Hotchkiss Brain Institute NIH 	• NIH	None

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Name	Employment	Consultant	Speaker	Ownership/ Partnership/ Principal	Research	Institutional, Organizational or Other Financial Benefit	Expert Witness
Shari L. Targum	FDA	None	None	None	None	None	None

This table represents the relationships of committee members with industry and other entities that were determined to be relevant to this document. These relationships were reviewed and updated in conjunction with all meetings and/or conference calls of the Writing Committee during the document development process. The table does not necessarily reflect relationships with industry at the time of publication. A person is deemed to have a significant interest in a business if the interest represents ownership of 5% or more of the voting stock or share of the business entity, or ownership of \$10,000 or more of the fair market value of the business entity; or if funds received by the person from the business entity exceed 5% of the person's gross income for the previous year. Relationships that exist with no financial benefit are also included for the purpose of transparency. Relationships in this table are modest unless otherwise noted.

BMS indicates Bristol Myers Squibb; NHLBI, National Heart, Lung and Blood Institute; NIAID, National Institute of Allergy and Infectious Diseases; NIH, National Institute of Health.





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Karen A. Hicks, James E. Tcheng, Biykem Bozkurt, Bernard R. Chaitman, Donald E. Cutlip, Andrew Farb, Gregg C. Fonarow, Jeffrey P. Jacobs, Michael R. Jaff, Judith H. Lichtman, Marian C. Limacher, Kenneth W. Mahaffey, Roxana Mehran, Steven E. Nissen, Eric E. Smith and Shari L. Targum

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